

iii. \*Financial parameters - Balance sheet items (Amount in Rupees) as on financial year end date

1	Amount of issue allotted for contracts without payment received in cash during reporting periods	0	497	
2	Share application money given	0		
3	Share application money given during the reporting period	0		
4	Share application money received during the reporting period	0		
5	Share application money received and due for refund	0		
6	Paid – up capital held by foreign company	0	0	%
7	Paid-up capital held by foreign holding company and/ or through its subsidiaries	0	0	%
8	Number of shares bought back during the reporting period	0		
9	Deposits accepted or renewed during the reporting period	0		
10	Deposits matured and claimed but not paid during the reporting period	0		
11	Deposits matured and claimed but not paid	0		
12	Deposits matured, but not claimed	0		
13	Unclaimed matured debentures	0		
14	Debentures claimed but not paid	0		
15	Interest on deposits accrued and due but not paid	0		
16	Unpaid dividend	0		
17	Investment in subsidiary companies	0		
18	Investment in government companies	0		
19	Capital Reserves	0		
20	Amount due for transfer to Investor Education and Protection Fund (IEPF)	0		
21	Inter- corporate deposits	0		
22	Gross value of transaction as per AS18 (If applicable)	194885100		
23	Capital subsidies/ grants received from government authority(ies)	0		
24	Calls unpaid by directors	0		
25	Calls unpaid by others	0		
26	Forfeited shares (amount originally paid-up)	0		
27	Forfeited shares reissued	0		
28	Borrowing from foreign institutional agencies	0		
29	Borrowing from foreign companies	0		
30	Inter-corporate borrowings - secured	0		
31	Inter-corporate borrowings - unsecured	0		
32	Commercial Paper	0		
33	Conversion of warrants into equity shares during the reporting period	0		
34	Conversion of warrants into preference shares during the reporting period	0		
35	Conversion of warrants into debentures during the reporting period	0		
36	Warrants issued during the reporting period (In foreign currency)	0		
37	Warrants issued during the reporting period (In Rupees)	0		
38	Default in payment of short term borrowings and interest thereon	0		
39	Default in payment of long term borrowings and interest thereon	0		
40	Whether any operating lease has been converted to financial lease or vice-versa	<input type="radio"/> Yes <input checked="" type="radio"/> No		
	Provide details of such conversion	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>		

41	Net worth of company	143377838
42	Number of shareholders to whom shares allotted under private placement during the reporting period	0 <span style="float: right;">498</span>
43	Secured Loan	64486621
44	Gross fixed assets (including intangible assets)	20723758
45	Depreciation and amortization	4044200
46	Miscellaneous expenditure to the extent not written off or adjusted	0
47	Unhedged Foreign Exchange Exposure	0

**IV. Share capital raised during the reporting period (Amount in Rupees)**

Particulars	Equity Shares	Preference Shares	Total
(a) Public issue	0	0	0
(b) Bonus issue	0	0	0
(c) Right issue	0	0	0
(d) Private placement arising out of conversion of debentures or preference shares	0	0	0
(e) Other private placement	0	0	0
(f) Preferential allotment arising out of conversion of debentures or preference shares	0	0	0
(g) Other preferential allotment	1321600	0	1321600
(h) Employee Stock Option Plan (ESOP)	0	0	0
(i) Others	0	0	0
(j) Total amount of share capital raised during the reporting period	1321600	0	1321600

**VI. Details related to cost records and cost audit**

1. \*Whether maintenance of cost records by the company has been mandated under Companies (Cost Records and Audit) Rules, 2014

☐ Yes ☒ No

**SEGMENT II: INFORMATION AND PARTICULARS IN RESPECT OF PROFIT AND LOSS ACCOUNT**
**I. Statement Of Profit And Loss**

499

	Particulars	Figures for the period (Current reporting period)		Figures for the period (Previous reporting period)	
		From	01/04/2019 (DD/MM/YYYY)	From	01/04/2018 (DD/MM/YYYY)
		To	31/03/2020 (DD/MM/YYYY)	To	31/03/2019 (DD/MM/YYYY)
(I)	<b>Revenue from operations</b>				
	Domestic Turnover				
	(i) Sales of goods manufactured	0		0	
	(ii) Sales of goods traded	0		0	
	(iii) Sales or supply of services	39964861		39747724	
	Export turnover				
	(i) Sales of goods manufactured	0		0	
	(ii) Sales of goods traded	0		0	
	(iii) Sales or supply of services	0		0	
(II)	Other income	781620		3500000	
(III)	<b>Total Revenue (I+II)</b>	40746481			
(IV)	<b>Expenses</b>				
	Cost of material consumed	0		0	
	Purchases of stock in trade	0		0	
	Changes in inventories of				
	-Finished goods	0		0	
	-Work-in-progress	0		0	
	-Stock in trade	0		0	
	Employee benefit Expense	1917712		2669050	
	Managerial remuneration	0		0	
	Payment to Auditors	59000		59000	
	Insurance expenses	192233		40636	
	Power and fuel	0		0	
	Finance cost	11690092		10752570	
	Depreciation and Amortisation expense	1640687		1618027	
	Other expenses	5965091		10592583	
	<b>Total expenses</b>	21464815		25731866	
(V)	Profit before exceptional and extraordinary items and tax (III-IV)	19281666		-25731866	
(VI)	Exceptional items	0		0	
(VII)	Profit before extraordinary items and tax (V-VI)	19281666		-25731866	
(VIII)	Extraordinary items	0		0	
(IX)	Profit before tax (VII-VIII)	19281666			
(X)	<b>Tax Expense</b>				
	(1) Current tax	5321050		4732321	
	(2) Deferred tax	-865944		210546	
(XI)	<b>Profit (Loss) for the period from continuing Operations (IX-X)</b>	14826560		-4942867	
(XII)	<b>Profit/(Loss) from discontinuing operations</b>	0		0	
(XIII)	Tax expense of discontinuing operations	0		0	
(XIV)	Profit /(Loss) from discontinuing operations (after tax) (XII-XIII)	0		0	
(XV)	Profit/ (Loss) (XI+XIV)	14826560		-4942867	

(XVI)	Earnings per equity share before extraordinary items		500
	(1) Basic	16.24	15.72
	(2) Diluted	16.24	15.72
(XVII)	Earnings per equity share after extraordinary items		
	(1) Basic	16.24	15.72
	(2) Diluted	16.24	15.72

## II. Detailed Profit and Loss items (Amount in Rupees)

### A. Details of earning in foreign exchange

Particulars	Current reporting period	Previous reporting period
Export of goods calculated on FOB basis	0	0
Interest and dividend	0	0
Royalty	0	0
Know-how	0	0
Professional and consultation fees	0	0
Other income	0	0
Total Earning in Foreign Exchange		0

### B. Details of expenditure in foreign exchange

Particulars	Current reporting period	Previous reporting period
Import of goods calculated on CIF basis		
(i) Raw material	0	0
(ii) Component and spare parts	0	0
(iii) Capital goods	0	0
Expenditure on account of		
Royalty	0	0
Know-how	0	0
Professional and consultation fees	0	907719.28
Interest	0	0
Other matters	0	0
Dividend paid	0	0
Total Expenditure in foreign exchange		907719.28

## III. \*Financial parameters - Profit and loss account items (Amount in Rupees) during the reporting period

1	Proposed Dividend	0	0	%
2	Earnings per share (in Rupees)	Basic	16.24	
		Diluted	16.24	
3	Income in foreign currency	0		
4	Expenditure in foreign currency	0		
5	Revenue subsidies or grants received from government authority(ies)	0		
6	Rent paid	850794		
7	Consumption of stores and spare parts	0		
8	Gross value of transaction with related parties as per AS-18 (If applicable)	360000		
9	Bad debts of related parties as per AS-18 (If applicable)	0		

## IV. Details related to principal products or services of the company

Total number of product/ services category(ies) 1

1	Product or service category code (ITC/ NPCS 4 digit code)	9971
	Description of the product or service category	FINANCIAL AND RELATED SERVICES <b>501</b>
	*Turnover of the product or service category (in Rupees)	39964861
	*Highest turnover contributing product or service code (ITC/ NPCS 8 digit code)	99711900
	*Description of the product or service	Services of Financing
	*Turnover of highest contributing product or service (in Rupees)	39964861

Note - Please refer to 'Indian Trade Classification' based on harmonized commodity description and coding system issues by the ministry of Commerce & Industry for Product Codes and National Product Classification for Services (NPCS) for Services codes issued by Ministry of Statistics & Program Implementation, Government of India.

### Segment III: Reporting of Corporate Social Responsibility (CSR)

1. (a) (i) \*Whether CSR is applicable as per section 135 ☐ Yes ☒ No

(ii) Turnover (in Rs.)

(iii) Net worth (in Rs.)

2. Average net profit of the company for last three financial years (as defined in explanation to sub-section (5) section 135 of the Act)  (in Rupees)

3. Prescribed CSR Expenditure (two per cent. of the amount as in item 2 above)  (in Rupees)

4. (a) Total amount spent on CSR for the financial year  (in Rupees)

(b) Amount spent in local area  (in Rupees)

(c) Manner in which the amount spent during the financial year as detailed below:

Number of CSR activities

(1) S. No.	(2) CSR project or activity identified	(3) Sector in which the Project is covered	(4) Projects or programs - Specify the State /Union Territory where the Project/ Program was undertaken	(5) Projects or programs - Specify the district where projects or programs was undertaken	(6) Amount outlay (budget) project or programs wise (in Rs.)	(7) Amount spent on the projects or programs (in Rs.)	(8) Expenditure on Administrative overheads (in Rs.)	(9) Mode of Amount spent
1		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>
2		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>
3		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>
	Total							

5. Give details (name, address and email address) of implementing agency(ies)

7. Whether a responsibility statement of the CSR Committee on the implementation and monitoring of CSR Policy is enclosed to the Board's Report ☐ Yes ☐ No

**Segment IV: DISCLOSURE ABOUT RELATED PARTY TRANSACTIONS**

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Disclosure of particulars of contracts/arrangements entered into by the company with related parties referred to in sub-section (1) of section 188 of the Companies Act, 2013 including certain arm's length transactions under third proviso thereto

1. Number of contracts or arrangements or transactions not at arm's length basis

Name(s) of the related party	Nature of relationship	Nature of contracts/ arrangements/ transactions

Duration of the contracts / arrangements/ transactions	Date of approval by the Board	Amount paid as advances, if any	Date on which the special resolution was passed in general meeting as required under first proviso to section 188

2. Number of material contracts or arrangement or transactions at arm's length basis

Name(s) of the related party	Nature of relationship	Nature of contracts/ arrangements/ transactions

Duration of the contracts / arrangements/ transactions	Date of approval by the Board	Amount paid as advances, if any

**Segment V: Auditor's Report**

I. (a) In case of a government company, whether Comptroller and Auditor-General of India (CAG of India) has commented upon or supplemented the audit report under section 143 of the Companies Act, 2013 ☐ Yes ☒ No

**III. Details of remarks made by auditors and applicability of CARO**

1. \*Whether auditors' report has been qualified or has any reservations or contains adverse remarks ☐ Yes ☒ No

3. \*Whether Companies (Auditors' Report) Order (CARO) applicable ☒ Yes ☐ No

4. Auditors' comment on the items specified under Companies (Auditors' Report) Order (CARO)

Particulars	Auditors' comments on the report
Fixed assets	Favourable Remark
Inventories	Clause not applicable
Loans given by the company	Favourable Remark
Acceptance of Public Deposits	Clause not applicable
Maintenance of Cost records	Clause not applicable
Statutory dues	Favourable Remark
Term loans	Favourable Remark
Fraud noticed	Clause not applicable
Others	Favourable Remark

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#### SEGMENT-VI- Miscellaneous

1. \*Whether the Secretarial Audit is applicable ☐ Yes ☒ No
2. \*Whether detailed disclosures with respect to Directors' report under sub-section (3) of section 134 is attached. ☒ Yes ☐ No

#### Attachments

1. \*Copy of financial statements duly authenticated as per section 134(including Board's report, auditors' report and other documents)

Attach

7. Company CSR policy as per s sub-section (4) of section 135

Attach

8. Details of other entity(s)

Attach

11. Secretarial Audit Report

Attach

12. Directors' report as per sub-section (3) of section 134

Attach

13. Details of remaining CSR activities

Attach

14. Optional attachment(s)- if any

Attach

#### List of attachment

Copy of Financial statements1920.pdf  
Directors report and MGT9.pdf

Remove attachment

### Declaration

I am authorized by the Board of Directors of the Company vide \*resolution number 03 504 \*dated 29/08/2020 to sign this form and declare that all the requirements of Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**\*To be digitally signed by**

PRAMO  
D  
SHARMA

\*Designation Director

\*Director identification number of the director; or  
PAN of the manager or CEO or CFO; or Membership  
number of the secretary

00013573

### Certificate by Practicing professional

I declare that I have been duly engaged for the purpose of certification of this form. It is hereby certified that I have gone through the provisions of the Companies Act, 2013 and Rules thereunder for the subject matter of this form and matters incidental thereto and I have verified the above particulars (including attachment(s)) from the original/certified records maintained by the Company/applicant which is subject matter of this form and found them to be true, correct and complete and no information material to this form has been suppressed. I further certify that:

1. The said records have been properly prepared, signed by the required officers of the Company and maintained as per the relevant provisions of the Companies Act, 2013 and were found to be in order;
2. All the required attachments have been completely and legibly attached to this form;
3. It is understood that I shall be liable for action under Section 448 of the Companies Act, 2013 for wrong certification, if any found at any stage.

☐ Chartered Accountant (in whole time practice) ☐ Cost Accountant (in whole time practice)

☒ Company Secretary (in whole time practice)

Whether fellow or associate ☒ Associate ☐ Fellow

SHALIN  
I MITRA

Membership number

25884

Certificate of practice number

9699

**Note: Attention is drawn to provisions of Section 448 and 449 which provide for punishment for false statement / certificate and punishment for false evidence respectively.**

Modify

Check Form

Prescrutiny

Submit

### For office use only:

eForm Service request number (SRN) eForm filing date (DD/MM/YYYY)

**Digital signature of the authorizing officer**

This e-Form is hereby registered

Confirm submission

Date of signing

(DD/MM/YYYY)

OR

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

## Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U74899DL1995PTC067864

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AABCH0890H

(ii) (a) Name of the company

HAWK CAPITAL PRIVATE LIMIT

(b) Registered office address

704, 7th Floor, Deepali Building,  
92, Nehru Place,  
New Delhi  
South Delhi  
Delhi  
110016

(c) \*e-mail ID of the company

hawkcap1995@gmail.com

(d) \*Telephone number with STD code

01140565460

(e) Website

(iii) Date of Incorporation

4/26/95

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	91.9

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

**Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	5000000	799672	799672	799672
Total amount of equity shares (in Rupees)	50000000	7996720	7996720	7996720

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	5000000	799672	799672	799672

507

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	50000000	7996720	7996720	7996720

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
At the beginning of the year	489593	4895930	4895930	
Increase during the year	310079	3100790	3100790	60178351
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	310079	3100790	3100790	60178351
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0

508

viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify <div></div>				
<b>Decrease during the year</b>	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <div></div>				
<b>At the end of the year</b>	799672	7996720	7996720	
<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	
<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify <div></div>				
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <div></div>				
<b>At the end of the year</b>	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

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Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes

☒ No

☐ Not Applicable

Separate sheet attached for details of transfers

☒ Yes

☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<div>29/09/2018</div>	
Date of registration of transfer (Date Month Year) <div></div>			
Type of transfer	<div>▼</div>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<div></div>	Amount per Share/ Debenture/Unit (in Rs.)	<div></div>
Ledger Folio of Transferor		<div></div>	
Transferor's Name	<div></div>	<div></div>	<div></div>
	Surname	middle name	first name
Ledger Folio of Transferee		<div></div>	
Transferee's Name	<div></div>	<div></div>	<div></div>
	Surname	middle name	first name

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Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	69000000	10	690000000
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			65571573
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			45751513
Deposit			0
<b>Total</b>			801323086

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	690000000	0	0	690000000

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

39747724

(ii) Net worth of the Company

113108350

VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
--------	----------	--------	------------

512

		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	652878	81.64	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	652878	81.64	0	0

Total number of shareholders (promoters)

1

(b) \*SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	69275	8.66	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	



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2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	77519	9.69	0	
10.	Others	0	0	0	
	<b>Total</b>	146794	18.35	0	0

Total number of shareholders (other than promoters)

3

Total number of shareholders (Promoters+Public/  
Other than promoters)

4

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	6	3
Debenture holders	1	2

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

514

<b>A. Promoter</b>	0	1	0	1	0	81.64
<b>B. Non-Promoter</b>	1	0	1	0	0	0
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	1	1	1	1	0	81.64

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

2

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Pramod Sharma	00013573	Director	652878	
Ram Niwas Swami	03248417	Whole-time director	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Deena Nath Pathak	02104727	Additional director	06/06/2018	Appointment
Deena Nath Pathak	02104727	Additional director	05/02/2019	Cessation
Subodh Kumar Kaushik	ABUPK0864F	CEO	25/02/2019	Cessation

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

4

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Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
EXTRA ORDINARY	15/06/2018	7	5	90.08
EXTRA ORDINARY	08/08/2018	7	5	92.69
ANNUAL GENERAL	29/09/2018	8	5	82.99
EXTRA ORDINARY	21/02/2019	4	3	89.55

## B. BOARD MEETINGS

\*Number of meetings held

13

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	24/04/2018	2	2	100
2	17/05/2018	2	2	100
3	06/06/2018	2	2	100
4	29/06/2018	3	3	100
5	30/06/2018	3	3	100
6	24/07/2018	3	3	100
7	02/08/2018	3	3	100
8	14/08/2018	3	3	100
9	22/11/2018	3	3	100
10	25/01/2019	3	2	66.67
11	25/02/2019	3	2	66.67
12	25/03/2019	2	2	100

## C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

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**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	9/30/19
								(Y/N/NA)
1	Pramod Sharr	13	13	100	0	0	0	Yes
2	Ram Niwas Sw	13	13	100	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ram Niwas Swami	Whole-time Dire	360000	0	0	0	0
	Total		360000	0	0	0	360000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Subodh Kumar Kau	CEO	1000000	0	0	0	0
	Total		1000000	0	0	0	1000000

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

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\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

☒ Yes ☐ No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow

☐ Associate ☐ Fellow

Certificate of practice number

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ... 03 dated 25/06/2019 (DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

PRAMOD SHARMA  
Digitally signed by  
PRAMOD SHARMA  
DN: cn=PRAMOD SHARMA,  
o=, ou=, email=, c=IN

DIN of the director

00013573

To be digitally signed by

SHALIN MITRA  
Digitally signed by  
SHALIN MITRA  
DN: cn=SHALIN MITRA,  
o=, ou=, email=, c=IN

- ☐ Company Secretary
- ☒ Company secretary in practice

Membership number

25884

Certificate of practice number

9699

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

**List of attachments**

Attach

Attach

Attach

Attach

List of share holder and debenture holder, Share and Debenture transfer during the y Board Meeting.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

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**DINESH K SHARMA & ASSOCIATES.**  
**Chartered Accountants**  
**55, OFFICE NO-207,**  
**DARYAGANJ, NEWDELHI-110002**  
**Ph. - +91-23258696/97**  
**Email Id- ca.dksharma@gmail.com**

## **INDEPENDENT AUDITORS' REPORT**

To

The Members of  
Hawk Capital Private Limited

### **Report on the Financial Statements**

We have audited the accompanying financial statements of Hawk Capital Private Limited ("the Company"), which comprise the balance sheet as at March 31, 2021, and the Statement of Profit and Loss and statement of cash flows for the year then ended, and notes to the financial statements, including a summary of significant accounting policies and other explanatory information.

In our opinion and to the best of our information and according to the explanations given to us with specific consideration to para 6A of Other Matter paragraph, the aforesaid financial statements give the information required by the Companies Act, 2013 ('Act') in the manner so required and give a true and fair view in conformity with the accounting principles generally accepted in India, of the state of affairs of the Company as at March 31, 2021, its profit (or Loss)\* and cash flows for the year ended on that date.

### **Basis for opinion**

We conducted our audit in accordance with the standards on auditing specified under section 143 (10) of the Companies Act, 2013. Our responsibilities under those Standards are further described in the auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the Company in accordance with the code of ethics issued by the Institute of Chartered Accountants of India together with the ethical requirements that are relevant to our audit of the financial statements under the provisions of the Act and the rules thereunder, and we have fulfilled our other ethical responsibilities in accordance with these requirements and the code of ethics.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.





## Management's responsibility for the financial statements

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The Company's board of directors are responsible for the matters stated in section 134 (5) of the Act with respect to the preparation of these financial statements that give a true and fair view of the financial position, financial performance and cash flows of the Company in accordance with the accounting principles generally accepted in India, including the accounting standards specified under section 133 of the Act. This responsibility also includes maintenance of adequate accounting records in accordance with the provisions of the Act for safeguarding of the assets of the Company and for preventing and detecting frauds and other irregularities; selection and application of appropriate accounting policies; making judgments and estimates that are reasonable and prudent; and design, implementation and maintenance of adequate internal financial controls, that were operating effectively for ensuring the accuracy and completeness of the accounting records, relevant to the preparation and presentation of the financial statement that give a true and fair view and are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is responsible for assessing the Company's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless management either intends to liquidate the Company or to cease operations, or has no realistic alternative but to do so.

The board of directors are also responsible for overseeing the Company's financial reporting process.

## Auditor's responsibilities for the audit of the financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with SAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

As part of an audit in accordance with SAs, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.

- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances. Under section 143(3)(i) of the Companies Act, 2013, we are also responsible for expressing our opinion on whether the company has adequate internal financial controls system in place and the operating effectiveness of such controls



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-Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.

-Conclude on the appropriateness of management's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Company's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the Company to cease to continue as a going concern.

-Evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

#### Other Matters

Due to COVID 19 induced restrictions, the ability of the auditor to conduct the audit in accordance with the standard audit procedures prescribed under standards of auditing issued by ICAI for obtaining sufficient and appropriate audit evidence was impacted, thereby such standard audit procedures could not be properly performed, including but not limited to:

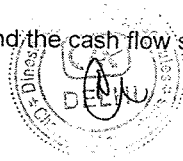
- Limited inspection, observation, examination and verification of the original documents/files;
- Physical verification of cash;
- Limited examination of the various documents with regard to primary and collateral security;
- Limited scrutiny of the credit facility documents files submitted by borrowers;
- Limited scrutiny of the Sanction / Renewals/ Review/ appraisal / disbursement/ compliance and detailed documentation as per laid down procedures including execution of requisite documentation;

#### Report on other legal and regulatory requirements

As required by the Companies (Auditor's Report) Order, 2016 ("the Order"), issued by the Central Government of India in terms of sub-section (11) of section 143 of the Companies Act, 2013, we give in the Annexure "A", a statement on the matters specified in paragraphs 3 and 4 of the Order, to the extent applicable.

As required by Section 143(3) of the Act, we report that:

- (a) We have sought and obtained all the information and explanations which to the best of our knowledge and belief were necessary for the purposes of our audit;
- (b) In our opinion, proper books of account as required by law have been kept by the Company so far as it appears from our examination of those books;
- (c) The balance sheet, the statement of profit and loss, and the cash flow statement dealt with by this report are in agreement with the books of account;



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(d) In our opinion, the aforesaid financial statements comply with the accounting standards specified under section 133 of the Act, read with rule 7 of the Companies (Accounts) Rules, 2014;

(e) On the basis of the written representations received from the directors as on March 31, 2021 taken on record by the board of directors, none of the directors is disqualified as on March 31, 2021 from being appointed as a director in terms of Section 164 (2) of the Act;

(f) With respect to the adequacy of the internal financial controls over financial reporting of the Company and the operating effectiveness of such controls, refer to our separate report in "Annexure B". Our report expresses an unmodified opinion on the adequacy and operating effectiveness of the Company's internal financial controls over financial reporting;

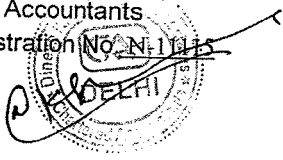
(g) With respect to the other matters to be included in the Auditor's Report in accordance with Rule 11 of the Companies (Audit and Auditors) Rules, 2014, in our opinion and to the best of our information and according to the explanations given to us;

- a. The Company does not have any pending litigations which would impact its financial position;
- b. The Company did not have any long-term contracts including derivative contracts for which there were any material foreseeable losses; and
- c. There has been no delay in transferring amounts, required to be transferred, to the Investor Education and Protection Fund by the Company

**For Dinesh K. Sharma & Associates**

Chartered Accountants

Firm Registration No. N-11115



Proprietor

Membership No. 089695

UDIN: 21089695AAAADN9068

Place: New Delhi

Date: 01/09/2021

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**Annexure "A" to the Independent Auditor's Report\***

(Referred to in paragraph 1 under 'Report on other legal and regulatory requirements' section of our report to the members of Hawk Capital Private Limited of even date)

1. In respect of the Company's fixed assets:
  - (a) The Company has maintained proper records showing full particulars, including quantitative details and situation of fixed assets.
  - (b) The fixed assets of the Company were physically verified in full by the management during the year. According to the information and explanations given to us and as examined by
  - (c) According to the information and explanations given to us, the records examined by us and based on the examination of the conveyance deeds provided to us, we report that, the title deeds, comprising all the immovable properties of land and buildings which are freehold, are held in the name of the Company as at the balance sheet date.  
In respect of immovable properties of land and building that have been taken on lease and disclosed as fixed assets in the financial statements, the lease agreements are in the name of the Company.
2. The Company is in the NBFC business and does not has any physical inventories. Accordingly, reporting under clause 3(ii) of the Order is not applicable to the Company.
3. According to information and explanation given to us, the company has granted loan, secured or unsecured to companies, firms, limited liability partnerships or other parties covered in the register required under section 189 of the Companies Act, 2013, in respect of which:
  - (a) The terms & conditions of the grant of such loans are, in our opinion, prima facie, not prejudicial to the company's interest.
  - (b) The schedule of repayment of principal and payment of interest has been stipulated and repayment or receipts of principal amounts and interest have been regular as per stipulations.
  - (c) There is no overdue amount remaining outstanding as at the year end.
4. In our opinion and according to information and explanation given to us, the company has complied with the provisions of section 185 and 186 of the Companies Act, 2013 in respect of grant of loans, making investments and providing guarantees and securities as applicable.
5. In our opinion and according to the information and explanations given to us, the company has not accepted any deposits and accordingly paragraph 3 (v) of the order is not applicable.
6. The Central Government of India has not prescribed the maintenance of cost records under sub-section (1) of section 148 of the Act for any of the activities of the company and accordingly paragraph 3 (vi) of the order is not applicable.



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7. In respect of statutory dues:

- (a) According to the information and explanations given to us and on the basis of our examination of the records of the Company, amounts deducted/ accrued in the books of account in respect of undisputed statutory dues including provident fund, employees' state insurance, income-tax, sales- tax, service tax, goods and service tax, duty of customs, duty of excise, value added tax, cess and other material statutory dues have been generally regularly deposited during the year by the company with the appropriate authorities.

According to the information and explanations given to us, no undisputed amounts payable in respect of provident fund, employees' state insurance, income-tax, sales- tax, service tax, goods and service tax, duty of customs, duty of excise, value added tax, cess and other material statutory dues were in arrears as at March 31, 2021 for a period of more than six months from the date they became payable

- (b) According to the information and explanations given to us and the records of the company examined by us, there are no dues of income-tax, sales- tax, service tax, goods and service tax, duty of customs, duty of excise and value added tax which have not been deposited on account of any dispute.
8. In our opinion and according to the information and explanations given to us, the company has never defaulted in repayment of loans or borrowings to financial institutions or banks or any government or any debenture holders during the year. Accordingly, paragraph 3 (viii) of the order is not applicable.
9. The Company has not raised any money by way of initial public offer or further public offer (including debt instruments) and the term loans were applied for the purposes for which those are raised..
10. To the best of our knowledge and according to the information and explanations given to us, no fraud by the Company or no material fraud on the Company by its officers or employees has been noticed or reported during the year.
11. The company is complying the provision of section 197 read with schedule V of the companies Act.
12. The Company is not a Nidhi Company and accordingly, paragraph 3 (xii) of the order is not applicable to the Company.
13. According to the information and explanations given to us and based on our examination of the records of the company, transactions with the related parties are in compliance with section 177 and 188 of the Act. Where applicable, the details of such transactions have been disclosed in the financial statements as required by the applicable accounting standards.
14. According to the information and explanations given to us and based on our examination of the records of the company, the company has made a preferential allotment and complied the Provisions of Act. There is no private placement of shares or fully or partly convertible debentures during the year.



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- 15 According to the information and explanations given to us and based on our examination of the records of the company, the company has not entered into non-cash transactions with directors or persons connected with them. Accordingly, paragraph 3(xv) of the order is not applicable.
- 16 The company is required to be registered under section 45-IA of the Reserve Bank of India Act 1934 and have a valid certificate no-B-14.02758 dated 29/11/2002.

**For Dinesh K. Sharma & Associates**

Chartered Accountants

Firm Registration No. N-1115

Proprietor

Membership No. 089695

UDIN: 21089695AAAADN9068

Place: New Delhi

Date: 01/09/2021

## Annexure "B" to the Independent Auditor's Report

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(Referred to in paragraph 2 (f) under 'Report on other legal and regulatory requirements' section of our report to the Members of Hawk Capital Private Limited of even date)

### **Report on the internal financial controls over financial reporting under clause (i) of sub – section 3 of section 143 of the Companies Act, 2013 ("the Act")**

We have audited the internal financial controls over financial reporting of Hawk Capital Limited ("the Company") as at March 31, 2021, in conjunction with our audit of the financial statements of the Company for the year ended on that date.

#### **Management's responsibility for internal financial controls**

The board of directors of the Company is responsible for establishing and maintaining internal financial controls based on the internal control over financial reporting criteria established by the Company considering the essential components of internal control stated in the Guidance Note on Audit of Internal Financial Controls Over Financial Reporting issued by the Institute of Chartered Accountants of India. These responsibilities include the design, implementation and maintenance of adequate internal financial controls that were operating effectively for ensuring the orderly and efficient conduct of its business, the safeguarding of its assets, the prevention and detection of frauds and errors, the accuracy and completeness of the accounting records, and the timely preparation of reliable financial information, as required under the Companies Act, 2013.

#### **Auditors' responsibility**

Our responsibility is to express an opinion on the internal financial controls over financial reporting of the Company based on our audit. We conducted our audit in accordance with the Guidance Note on Audit of Internal Financial Controls Over Financial Reporting (the "Guidance Note") issued by the Institute of Chartered Accountants of India and the standards on auditing prescribed under Section 143 (10) of the Companies Act, 2013, to the extent applicable to an audit of internal financial controls. Those standards and the guidance note require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether adequate internal financial controls over financial reporting were established and maintained and if such controls operated effectively in all material respects.

Our audit involves performing procedures to obtain audit evidence about the adequacy of the internal financial controls system over financial reporting and their operating effectiveness. Our audit of internal financial controls over financial reporting included obtaining an understanding of internal financial controls over financial reporting, assessing the risk that a material weakness exists, and testing and evaluating the design and operating effectiveness of internal control based on the assessed risk. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement in the financial statements, whether due to fraud or error.



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We believe that the audit evidence we have obtained, is sufficient and appropriate to provide a basis for our audit opinion on the Company's internal financial control system over financial reporting.

### **Meaning of internal financial controls over financial reporting**

A company's internal financial control over financial reporting is a process designed to provide reasonable assurance regarding the reliability of financial reporting and the preparation of financial statements for external purposes in accordance with generally accepted accounting principles. A company's internal financial control over financial reporting includes those policies and procedures that (i) pertain to the maintenance of records that, in reasonable detail, accurately and fairly reflect the transactions and dispositions of the assets of the company; (ii) provide reasonable assurance that transactions are recorded as necessary to permit preparation of financial statements in accordance with generally accepted accounting principles, and that receipts and expenditures of the company are being made only in accordance with authorisations of management and directors of the company; and (iii) provide reasonable assurance regarding prevention or timely detection of unauthorised acquisition, use, or disposition of the company's assets that could have a material effect on the financial statements.

### **Limitations of internal financial controls over financial reporting**

Because of the inherent limitations of internal financial controls over financial reporting, including the possibility of collusion or improper management of override of controls, material misstatements due to error or fraud may occur and not be detected. Also, projections of any evaluation of the internal financial controls over financial reporting to future periods are subject to the risk that the internal financial control over financial reporting may become inadequate because of changes in conditions, or that the degree of compliance with the policies or procedures may deteriorate.

### **Opinion**

In our opinion and according to the information and explanations given to us, the Company has, in all material respects, an adequate internal financial control system over financial reporting and such internal financial controls over financial reporting were operating effectively as at March 31, 2021, based on the internal control over financial reporting criteria established by the Company considering the essential components of internal control stated in the Guidance Note on Audit of Internal Financial Controls Over Financial Reporting issued by the Institute of Chartered Accountants of India.

**For Dinesh K. Sharma & Associates**

Chartered Accountants

Firm Registration No. N111115

Proprietor

Membership No. 089695

UDIN: 21089695AAAADN9068

Place: New Delhi

Date: 01/09/2021



# HAWK CAPITAL PRIVATE LIMITED (CIN-U74899DL1995PTC067864)

## BALANCE SHEET AS AT MARCH 31, 2021

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(Amount in Rs.)

	Note No.	AS AT 31st March 2021	AS AT 31st March 2020
<b>I. EQUITY AND LIABILITIES</b>			
<b>(1) Shareholder's Funds</b>			
a) Share Capital	1	70,25,65,070	93,18,320
b) Reserves and Surplus	2	18,29,31,365	13,40,59,518
		<u>88,54,96,435</u>	<u>14,33,77,838</u>
<b>(2) Share application money pending allotment</b>			
		-	-
<b>(3) Non Current Liabilities</b>			
a) Long Term Borrowings	3	10,50,00,000	3,50,00,000
b) Unsecured Compulsory Convertible Debentures	4	-	69,00,00,000
c) Secured Non-Convertible Debentures	5	27,50,00,000	-
d) Long-term Provisions	6	40,26,967	32,38,377
		<u>38,40,26,967</u>	<u>72,82,38,377</u>
<b>(4) Current Liabilities</b>			
a) Bank/FIs Borrowings	7	4,75,74,508	6,44,86,621
b) Short Term Borrowings	8	2,19,80,000	2,29,80,000
c) Short-term Provisions	9	23,97,620	53,21,050
d) Other Current Liabilities	10	69,86,571	30,98,476
		<u>7,89,38,699</u>	<u>9,58,86,147</u>
<b>TOTAL</b>		<u><u>1,34,84,62,101</u></u>	<u><u>96,75,02,362</u></u>
<b>II. ASSETS</b>			
<b>(1) Non-current assets</b>			
a) Property, Plant & Equipment			
(i) Tangible Assets	11	1,90,45,799	1,66,79,558
		<u>1,90,45,799</u>	<u>1,66,79,558</u>
b) Non-current investment	12	37,97,06,567	46,42,06,567
c) Deferred Tax Assets (Net)	13	34,53,206	4,14,750
d) Long-term loans and advances	14	29,05,17,914	18,19,66,101
e) Non-current assets	15	18,77,28,722	18,61,91,822
		<u>88,04,52,208</u>	<u>84,94,58,798</u>
<b>(2) Current Assets</b>			
a) Trade receivables	16	2,48,47,434	2,34,15,649
b) Cash and cash equivalents	17	36,67,77,515	21,50,825
c) Short-term loans and advances	18	7,23,68,000	8,64,58,000
d) Other current assets	19	40,16,944	60,19,090
		<u>46,80,09,893</u>	<u>11,80,43,564</u>
<b>TOTAL</b>		<u><u>1,34,84,62,101</u></u>	<u><u>96,75,02,362</u></u>

Significant Accounting Policies

26

Notes on accounts

27

For Dinesh K. Sharma & Associates

Chartered Accountants

Firm No. N-11115

DELHI

Dinesh K. Sharma

Proprietor

Membership No.-089695

UDIN-

21089695AAAAJN 90 68

Place : New Delhi

Date : 01-09-2021

For Hawk Capital Private Limited

R. N. Swami

Whole Time Director

DIN No.-03248417

Pramod Sharma

Director

DIN No.-00013573

Siddharth Panwar

Company Secretary

PAN No.CAZPP2148F

# HAWK CAPITAL PRIVATE LIMITED (CIN-U74899DL1995PTC067864)

## PROFIT & LOSS STATEMENT FOR THE YEAR ENDED MARCH 31, 2021

(Amount in Rs.)

	Note No.	As at 31st March 2021	As at 31st March 2020
<b>I. REVENUE</b>			
Revenue from Operations	20	3,16,35,238	3,99,64,861
Other Income	21	60,22,429	7,81,620
<b>Total Revenue</b>		<b>3,76,57,667</b>	<b>4,07,46,481</b>
<b>II. EXPENSES</b>			
Employee benefits expense	22	19,80,456	19,17,712
Finance Cost	23	1,15,20,890	1,16,90,092
Provision for NPA	24	65,07,300	5,13,151
Administrative and other expenses	25	1,24,86,449	57,03,173
Depreciation / amortisation	11	17,86,684	16,40,687
<b>Total Expenses</b>		<b>3,42,81,779</b>	<b>2,14,64,815</b>
<b>III. PROFIT /(LOSS) BEFORE EXCEPTIONAL AND EXTRAORDINARY ITEMS AND TAX</b>		<b>33,75,888</b>	<b>1,92,81,666</b>
<b>IV. EXCEPTIONAL ITEMS</b>		-	-
<b>V. PROFIT/(LOSS) BEFORE TAX</b>		<b>33,75,888</b>	<b>1,92,81,666</b>
<b>VI. PROVISION FOR TAXES</b>			
(a) Current taxes	9	23,97,620	53,21,050
(b) Deferred taxes	13	(30,38,456)	(8,65,944)
<b>VII. PROFIT/(LOSS) AFTER TAX</b>		<b>40,16,724</b>	<b>1,48,26,560</b>
<b>VIII. EARNINGS PER SHARE- basic</b>			
Basic		3.88	16.24
Diluted		3.88	16.24
Significant Accounting Policies	26		
Notes on accounts	27		

For Dinesh K. Sharma & Associates

Chartered Accountants

Firm No. N-11115

DELHI

Dinesh K. Sharma

Proprietor

Membership No.-089695

UDIN- 21089695AAAADN9068

Place : New Delhi

Date : 01-09-2021

For Hawk Capital Private Limited

R. N. Swami

Whole Time Director

DIN No.-03248417

Pramod Sharma

Director

DIN No.-00013573

Siddharth Panwar

Company Secretary

PAN No.CAZPP2148F

# HAWK CAPITAL PRIVATE LIMITED (CIN-U74899DL1995PTC067864)

## CASH FLOW STATEMENT FOR THE YEAR ENDED MARCH 31, 2021

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	AS AT 31st March, 2021	AS AT 31st March, 2020
(Amount in Rs.)		
<b>Cash Flow From Operating Activities</b>		
Net Profit/(loss) before tax	33,75,888	1,92,81,666
<b>Adjustment for :-</b>		
Provision for Standard Assets	(7,88,590)	(6,44,807)
Earlier year expenses	(5,01,820)	-
Depreciation and Amortization	17,86,684	16,40,687
<b>Operating profit/(loss) before working capital changes</b>	<b>38,72,162</b>	<b>2,02,77,546</b>
<u>Adjustment for changes in working capital:</u>		
Increase/(Decrease) in Short-term Provisions	(29,23,430)	5,88,730
Increase/(Decrease) in Long-term Provisions	7,88,590	6,44,807
Increase/(Decrease) in other Current Liabilities	38,88,095	(4,12,330)
(Increase)/Decrease in Trade receivable	(14,31,785)	(68,05,504)
(Increase)/Decrease in Short-term Loans & Advances	1,40,90,000	(1,21,50,776)
(Increase)/Decrease in Long term Loans & Advances	(10,85,51,813)	3,41,78,563
(Increase)/Decrease in other non Current Assets	(15,36,900)	35,07,500
(Increase)/Decrease in other Current Assets	20,02,146	(40,976)
	<b>(8,98,02,936)</b>	<b>3,97,87,561</b>
Income tax paid	(23,97,620)	(53,21,050)
Adjustment on a/c of Prov. Of I.Tax	(6,07,667)	(9,12,265)
<b>Net cash flow from/(Used in) operating activities (A)</b>	<b>(9,28,08,221)</b>	<b>3,35,54,246</b>
<b>Cash Flow from Investing activities</b>		
Purchase of Fixed Assets	(41,52,926)	(44,500)
Investment in shares	8,45,00,000	(6,30,07,500)
<b>Net cash flow from/(Used in) Investing activities (B)</b>	<b>8,03,47,074</b>	<b>(6,30,52,000)</b>
<b>Cash Flow from Financing activities</b>		
Proceeds from Long Term Borrowings	7,00,00,000	(1,07,51,513)
Proceeds from Short Term Borrowings	(10,00,000)	2,29,80,000
Proceeds from Banks/Fls Borrowings	(1,69,12,113)	(10,84,952)
Proceeds from Non Convertible Debentures	27,50,00,000	-
Increase in Share Capital	32,46,750	13,21,600
Changes in Share Premium	4,67,53,200	1,56,78,400
<b>Net cash flow from/(Used in) Financing activities (C)</b>	<b>37,70,87,837</b>	<b>2,81,43,535</b>
<b>Net Increase/(Decrease) in Cash &amp; Cash Equivalents during the year (A+B+C)</b>	<b>36,46,26,690</b>	<b>(13,54,219)</b>
Cash & Cash Equivalent at the beginning of the year	21,50,825	35,05,044
Cash & Cash Equivalent at the end of the year	36,67,77,515	21,50,825
<b>Net Increase/(Decrease) in Cash &amp; Cash Equivalents</b>	<b>36,46,26,690</b>	<b>(13,54,219)</b>

Significant Accounting Policies 26  
Notes on accounts 27

For Dinesh K. Sharma & Associates  
Chartered Accountants

Firm No. N-11115

  
Dinesh K. Sharma

Proprietor

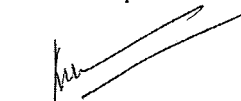
Membership No. 089695


UDIN- 21089695AAAAADN9068

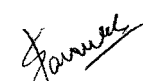
Place : New Delhi

Date : 01-09-2021

For Hawk Capital Private Limited

  
R. N. Swami  
Whole Time Director  
DIN No.-03248417

  
Pramod Sharma  
Director  
DIN No.-00013573

  
Siddharth Panwar  
Company Secretary  
PAN No. CAZPP2148F

# Hawk Capital Private Limited (CIN-U74899DL1995PTC067864)

## Notes Forming part of the Financial Statements

532  
(Amount in Rs.)

### Note No. 1 - Share Capital SHARE CAPITAL

	As at 31ST MARCH 2021		As at 31ST MARCH 2020	
	Number	Rupees	Number	Rupees
<b>Authorised</b>				
Equity shares of Rs. 10 each	50,00,000	5,00,00,000	50,00,000	5,00,00,000
Preference shares of Rs. 1000 each	7,00,000	70,00,00,000	-	-
<b>TOTAL</b>	<b>57,00,000</b>	<b>75,00,00,000</b>	<b>50,00,000</b>	<b>5,00,00,000</b>
<b>Issued, Subscribed and Fully Paid up</b>				
Equity shares of Rs. 10 each	12,56,507	1,25,65,070	9,31,832	93,18,320
Compulsory Convertible Preference shares of Rs.1000 each	6,90,000	69,00,00,000	-	-
<b>TOTAL</b>	<b>19,46,507</b>	<b>70,25,65,070</b>	<b>9,31,832</b>	<b>93,18,320</b>

#### (i) Reconciliation of the number of shares and amount outstanding at the beginning and at the end of the year

	As at 31ST MARCH 2021		As at 31ST MARCH 2020	
	Number	Rupees	Number	Rupees
<b>Equity Shares with voting rights</b>				
Shares outstanding at the beginning of the year	9,31,832	93,18,320	7,99,672	79,96,720
Shares issued during the year	3,24,675	32,46,750	1,32,160	13,21,600
Shares outstanding at the end of the year	12,56,507	1,25,65,070	9,31,832	93,18,320
<b>0.01% Cumulative Compulsory Convertible Preference Shares for 10 Years</b>				
Shares outstanding at the beginning of the year	-	-	-	-
Shares issued during the year	6,90,000	69,00,00,000	-	-
Shares outstanding at the end of the year	6,90,000	69,00,00,000	-	-

#### (ii) Rights, preferences and restrictions attached to the shares

##### Equity shares

The Company has only one class of equity shares having a face value of ₹ 10 per share. Each holder of the equity share is entitled to one vote per share.

During the year ended 31st March 2021, the amount of dividend to equity share holder is NIL (31st March 2020 - NIL).

##### Compulsory Convertible Preference Shares (CCPS)

The Company has issued only one class of Preference shares namely compulsory convertible preference shares (CCPS) having a face value of ₹ 1,000 per share. The CCPS shall convert into Equity Shares of the Company at the end of 10 (ten) years from the Date of Issuance, or upon Compulsorily Pre-Conversion. The holders of CCPS shall be entitled to vote only on such matters which affect their rights.

For Hawk Capital Private Limited

For Hawk Capital Private Limited

Director/Authorised Signatory

Director/Authorised Signatory



# Hawk Capital Private Limited (CIN-U74899DL1995PTC067864)

## Notes Forming part of the Financial Statements

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### (iii) Details of Shareholders holding more than 5% of a class of shares:

Particulars	31ST MARCH 2021		31ST MARCH 2020	
	No. of Shares	% Holding	No. of Shares	% Holding
<b>Equity Shares</b>				
Mr. Pramod Sharma	9,77,553	77.80	6,52,878	70.06
Mr. Shalabh Gupta	68,975	5.49	68,975	7.40
M/s Arck Consultants Pvt. Ltd.	77,519	6.17	77,519	8.32
	11,24,047	89.46	7,99,372	85.78
<b>Compulsory Convertible Preference Shares</b>				
M/s Vision India Fund	5,40,000	78.26	-	-
M/s Predicate Consultants Pvt Ltd	1,50,000	21.74	-	-
	6,90,000	100.00	-	-

### Note No. 2

### RESERVES AND SURPLUS

(Amount in Rs.)

	As at		As at	
	31ST MARCH 2021		31ST MARCH 2020	
<b>General Reserve Account</b>				
Opening Balance	1,52,42,904		1,15,36,264	
Addition during the year	10,04,181		37,06,640	
Substraction during the year	-		-	
		1,62,47,085		1,52,42,904
<b>RBI Reserve Account</b>				
Opening Balance	1,24,69,976		95,04,664	
Addition during the year	8,03,345		29,65,312	
		1,32,73,321		1,24,69,976
<b>Share Premium Account</b>				
Opening Balance	7,58,56,751		6,01,78,351	
Addition during the year	4,67,53,200		1,56,78,400	
		12,26,09,951		7,58,56,751
<b>Profit and Loss Account</b>				
Opening Balance	3,04,89,887		2,38,92,351	
Add: Profit/(loss) for the year	40,16,724		1,48,26,560	
Less: Adjustment on a/c of I. Tax	6,07,667		9,12,265	
Less: Adjustment on a/c of earlier year exp.	5,01,820		-	
Less: Appropriations				
Transfer to General Reserve	10,04,181		37,06,640	
Transfer to RBI Reserve Fund	8,03,345		29,65,312	
Transfer to Contingent Prov. for Standard Assets	7,88,590		6,44,807	
Total Appropriations	25,96,115		73,16,759	
		3,08,01,008		3,04,89,887
<b>Total</b>		18,29,31,365		13,40,59,518



For Hawk Capital Private Limited

Director/Authorised Signatory

For Hawk Capital Private Limited

Director/Authorised Signatory

**Hawk Capital Private Limited (CIN-U74899DL1995PTC067864)**  
**Notes Forming part of the Financial Statements**

534  
 (Amount in Rs.)

Note No. 3

**LONG TERM BORROWINGS**

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Unsecured loans from Companies	-	3,50,00,000
Secured loans from Financial Institution / NBFC	10,50,00,000	-
<b>TOTAL</b>	<b>10,50,00,000</b>	<b>3,50,00,000</b>

Note No. 4

(Amount in Rs.)

**UNSECURED COMPULSORY CONVERTIBLE DEBENTURES**

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Unsecured Compulsory Convertible Debentures into Equity - 6,90,00,000 No. of Rs 10/- each	-	69,00,00,000
<b>TOTAL</b>	<b>-</b>	<b>69,00,00,000</b>

Note No. 5

(Amount in Rs.)

**SECURED NON-CONVERTIBLE DEBENTURES**

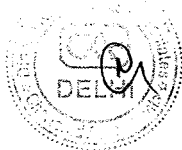
	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Secured Non-Convertible Debentures - 27,500 No. of Rs 10,000/- each	27,50,00,000	-
<b>TOTAL</b>	<b>27,50,00,000</b>	<b>-</b>

Note No. 6

(Amount in Rs.)

**LONG TERM PROVISIONS**

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
<b>Contingent Provision for Standard Assets</b>		
Opening Balance	32,38,377	25,93,570
Addition during the year	7,88,590	6,44,807
	<b>40,26,967</b>	<b>32,38,377</b>
	<b>40,26,967</b>	<b>32,38,377</b>



For Hawk Capital Private Limited

Director/Authorised Signatory

For Hawk Capital Private Limited

Director/Authorised Signatory

# Hawk Capital Private Limited (CIN-U74899DL1995PTC067864)

## Notes Forming part of the Financial Statements

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Note No. 7

### BANK/FIs BORROWINGS

(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
<b>Secured Loans:</b>		
a) HDFC Bank Ltd.	50,71,561	31,82,375
b) UCO Bank	1,95,668	2,89,923
c) Toyota Financial Services India Ltd.	-	4,82,882
<b>Cash Credits:</b>		
a) RBL Bank	4,23,07,279	6,05,31,441
<b>TOTAL</b>	<b>4,75,74,508</b>	<b>6,44,86,621</b>

Note No. 8

### SHORT TERM BORROWINGS

(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Unsecured loans from companies	2,19,80,000	2,29,80,000
<b>TOTAL</b>	<b>2,19,80,000</b>	<b>2,29,80,000</b>

Note No. 9

### SHORT TERM PROVISIONS

(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Current Income Taxes	23,97,620	53,21,050
<b>TOTAL</b>	<b>23,97,620</b>	<b>53,21,050</b>

Note No. 10

### OTHER CURRENT LIABILITIES

(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
(a) Amount Payable	-	9,20,000
(b) Other Current Liabilities		
- Statutory due	13,58,237	3,66,654
- Salary Payable	1,55,500	18,000
- Expenses Payable	11,54,225	1,72,390
- Interest Payable	43,18,609	16,21,432
<b>TOTAL</b>	<b>69,86,571</b>	<b>30,98,476</b>



For Hawk Capital Private Limited

Director/Authorised Signatory

For Hawk Capital Private Limited

Director/Authorised Signatory

Hawk Capital Private Limited  
Notes Forming part of the Financial Statements  
NOTE NO. 11  
Property, Plant & Equipment  
As Per Companies Act

536

DESCRIPTION	GROSS BLOCK (AT COST)				DEPRECIATION				(Amount in Rs.) NET BLOCK	
	As at 01.04.2020	Additions/ Adjustments during the year	Sales / Adjustments during the year	As at 31.03.2021	Upto 31.03.2020	For the Year	Adjustments during the year	As at 31.03.2021	As at 31.03.2021	As at 31.03.2020
<b><u>Tangible Assets (A)</u></b>										
Office Equipment	5,33,181	-	-	5,33,181	3,01,047	74,781	-	3,75,828	1,57,353	2,32,134
Computer	1,55,700	38,300	-	1,94,000	75,285	26,592	-	1,01,877	92,123	80,415
Vehicles	1,03,25,756	41,14,625	-	1,44,40,381	29,04,475	14,51,865	-	43,56,340	1,00,84,041	74,21,281
Furniture & Fixture	25,321	-	-	25,321	18,183	2,405	-	20,588	4,733	7,138
Buildings	96,83,800	-	-	96,83,800	7,45,210	2,31,040	-	9,76,250	87,07,550	89,38,590
<b>TOTAL (A)</b>	<b>2,07,23,758</b>	<b>41,52,925</b>	<b>-</b>	<b>2,48,76,683</b>	<b>40,44,200</b>	<b>17,86,684</b>	<b>-</b>	<b>58,30,884</b>	<b>1,90,45,799</b>	<b>1,66,79,558</b>
<b><u>Intangible Assets (B)</u></b>										
<b>TOTAL (B)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL (A+B)</b>	<b>2,07,23,758</b>	<b>41,52,925</b>	<b>-</b>	<b>2,48,76,683</b>	<b>40,44,200</b>	<b>17,86,684</b>	<b>-</b>	<b>58,30,884</b>	<b>1,90,45,799</b>	<b>1,66,79,558</b>



For Hawk Capital Private Limited

Director/Authorised Signatory

For Hawk Capital Private Limited

Director/Authorised Signatory



# Hawk Capital Private Limited (CIN-U74899DL1995PTC067864)

## Notes Forming part of the Financial Statements

537

Note No. 12

(Amount in Rs.)

### OTHER NON- CURRENT INVESTMENT

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
<b>Non Current Investment</b>		
Investment in quoted shares	6,55,067	6,55,067
Investment in unquoted shares	7,15,51,500	7,15,51,500
Investment in unquoted debentures	28,20,00,000	39,20,00,000
Investment in UVARCL - TRUST XVI	2,55,00,000	-
<b>TOTAL</b>	<b>37,97,06,567</b>	<b>46,42,06,567</b>

Note No. 13

(Amount in Rs.)

### DEFERRED TAX ASSET

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Opening Balance	4,14,750	(4,51,194)
<b>(a) Deferred tax liabilities (net)</b>		
(i) Depreciation and amortisation	(6,25,458)	(4,80,562)
	(1,74,002)	(1,33,692)
<b>(b) Deferred tax assets (net)</b>		
(i) Provision for NPA	65,07,300	35,93,228
(ii) Rates & Taxes	50,40,000	
	32,12,459	9,99,636
<b>Closing Balance</b>	<b>34,53,206</b>	<b>4,14,750</b>

Note No. 14

(Amount in Rs.)

### LONG TERM LOANS AND ADVANCES

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
<b>Loans, Considered good</b>		
Loans & Advances to companies	18,52,40,000	9,55,50,600
Loans & Advances to other than companies	5,53,27,873	7,34,14,066
Advances to other than Co. for sale of shares	25,00,000	25,00,000
	24,30,67,873	17,14,64,666
<b>Loans, Considered NPA</b>		
Loans & Advances to companies	4,25,25,556	10,08,418
Loans & Advances to other than companies	1,14,31,785	1,07,72,129
	5,39,57,341	1,17,80,546
Less: Provisions for NPAs	65,07,300	12,79,111
	4,74,50,042	1,05,01,435
<b>TOTAL</b>	<b>29,05,17,914</b>	<b>18,19,66,101</b>

Note No. 15

(Amount in Rs.)

### NON CURRENT ASSETS

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Amount receivable for sale of shares	13,10,00,000	13,10,00,000
Project	5,67,28,722	5,51,91,822
<b>TOTAL</b>	<b>18,77,28,722</b>	<b>18,61,91,822</b>

For Hawk Capital Private Limited

Director/Authorised Signatory

For Hawk Capital Private Limited

Director/Authorised Signatory

**Hawk Capital Private Limited (CIN-U74899DL1995PTC067864)****Notes Forming part of the Financial Statements**

Note No. 16

**TRADE RECEIVABLES**538  
(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Unsecured, considered good		
Outstanding for more than six months	1,18,05,439	1,02,32,597
Others	1,30,41,995	1,31,83,052
<b>TOTAL</b>	<b>2,48,47,434</b>	<b>2,34,15,649</b>

Note No. 17

**CASH AND CASH EQUIVALENTS**

(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
(i) Balances with banks - In Current Accounts	36,10,93,402	10,39,325
(i) Other bank balances - FDR	50,00,000	-
(ii) Cash in hand	6,84,113	11,11,500
<b>TOTAL</b>	<b>36,67,77,515</b>	<b>21,50,825</b>

Note No. 18

**SHORT TERM LOANS AND ADVANCES**

(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Loans & Advances to companies	6,20,00,000	7,79,50,000
Loans & Advances to other than companies	1,03,68,000	85,08,000
<b>TOTAL</b>	<b>7,23,68,000</b>	<b>8,64,58,000</b>

Note No. 19

**OTHER CURRENT ASSETS**

(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Amount recoverable	26,550	26,550
Advance tax AY 2020-21	-	11,00,000
Tax deducted at sources	15,76,339	23,68,319
Tax deducted at sources - other	2,49,823	3,41,978
ITC - GST	13,43,520	14,60,899
Prepaid Salary (Advance to Staff)	-	10,000
Income tax refund recoverable	1,88,214	1,88,214
Prepaid Expenses	2,85,265	4,18,130
Security Deposit - Photocopier Machine	15,000	15,000
Advance against salary	-	90,000
Interest accrued on fixed deposit with UCO Bank	7,233	-
Advance paid for Work / Service	3,25,000	-
<b>TOTAL</b>	<b>40,16,944</b>	<b>60,19,090</b>



For Hawk Capital Private Limited

Director/Authorised Signatory

For Hawk Capital Private Limited

Director/Authorised Signatory

# Hawk Capital Private Limited (CIN-U74899DL1995PTC067864)

## Notes Forming part of the Financial Statements

539  
(Amount in Rs.)

Note No. 20

### REVENUE FROM OPERATIONS

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Income from Business & Profession		
Interest Income	2,66,85,238	3,73,53,611
Consultancy and Professional fees	21,00,000	25,00,000
Processing Fees	28,50,000	1,11,250
	3,16,35,238	3,99,64,861
<b>TOTAL</b>	<b>3,16,35,238</b>	<b>3,99,64,861</b>

Note No. 21

### OTHER INCOME

(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Profit on Sale of Compulsory Conv. Debenture	60,00,000	-
Dividend Income	13,330	2,30,875
Interest on Fixed Deposit with UCO Bank	7,233	-
Other Income	1,866	5,50,745
	60,22,429	7,81,620
<b>TOTAL</b>	<b>60,22,429</b>	<b>7,81,620</b>

Note No. 22

### EMPLOYEE BENEFIT EXPENSES

(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Salaries and Allowances	16,97,000	14,78,111
Staff Welfare	2,60,006	4,00,001
Wages	23,450	39,600
<b>TOTAL</b>	<b>19,80,456</b>	<b>19,17,712</b>

Note No. 23

### FINANCIAL CHARGES

(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Interest on Car Loan	3,37,349	4,03,844
Interest on Corporates	46,61,742	41,71,445
Interest on OD - RBL	61,61,601	71,14,803
Interest on Term Loan	31,644	-
Interest on Unsecured Compulsory Convertible Debentures	3,28,554	-
	1,15,20,890	1,16,90,092
<b>TOTAL</b>	<b>1,15,20,890</b>	<b>1,16,90,092</b>

Note No. 24

### PROVISION FOR NPA

(Amount in Rs.)

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Loans, Considered NPA		
Loans to Company	46,69,374	1,00,842
Loans to Persons other than Company	18,37,926	11,78,269
	65,07,300	12,79,111
Provision for NPA for the year		
Less: Reversal of Provision for NPA		
Loans to Company	-	5,25,960
Loans to Persons other than Company	-	2,40,000
<b>TOTAL</b>	<b>65,07,300</b>	<b>5,13,151</b>



For Hawk Capital Private Limited

Director/Authorised Signatory

# Hawk Capital Private Limited (CIN-U74899DL1995PTC067864)

## Notes Forming part of the Financial Statements

Note No. 25

540  
(Amount in Rs.)

### ADMINISTRATIVE AND OTHER EXPENSES

	As at 31ST MARCH 2021	As at 31ST MARCH 2020
Auditor's fees	1,25,000	59,000
Bank Charges	12,046	8,955
Bank Guarantee Expenses	38,882	-
Books & Periodicals	-	4,593
Business Promotion	5,74,488	7,32,204
Annual Maintenance Expenses (Laptop & Desktop)	16,962	25,712
Consultancy Fees	27,03,200	17,89,864
Credit Rating Expenses	-	65,400
Electricity Expenses	51,282	85,721
General Maintenance (Building) Expenses	3,48,987	5,61,509
Insurance expenses	1,70,849	1,92,233
Interest on late payment & filling of GST	5,940	6,820
Interest on late payment of I. Tax	3,20,603	2,39,343
Interest on late payment of TDS	18,169	837
D- mat Expenses	-	6,775
Legal & Professional Expenses	6,57,906	1,07,550
Lease Rent Expenses	2,49,998	8,04,420
Membership & Subscription Fees	26,675	56,312
Miscellaneous Expenses	1,18,546	98,337
Miscellaneous Expenses (Foreign)	8,200	-
Postages, Courier & Stamps	18,766	6,543
Printing & Stationery	28,242	18,705
Rates & Taxes	25,259	33,244
Roe fees	63,02,400	15,700
Repair & Maintenance Expenses	1,37,695	1,09,090
Rent - Photocopier Machine	24,780	46,374
Telephone & Communication Expenses	59,415	64,414
Vehicle Running & Maintenance Expenses	1,61,023	2,02,099
Valuation Expenses	2,02,550	-
Website Domain Expenses	1,974	-
Donations	-	80,000
Conveyance Expenses	17,459	64,888
Office Expenses	2,625	2,940
Tour & Travelling Expenses (Foreign)	-	11,052
Tour & Travelling Expenses	56,528	2,02,539
<b>TOTAL</b>	<b>1,24,86,449</b>	<b>57,03,173</b>

Significant Accounting Policies

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Notes on accounts

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For Dinesh K. Sharma & Associates

Chartered Accountants

Firm No. N-11115

DELHI

Dinesh K. Sharma

Proprietor

Membership No.-089695

UDIN- 21089695AAAA DN9068

Place : New Delhi

Date : 01-09-2021

For Hawk Capital Private Limited

R. N. Swami

Whole Time Director

DIN No.-03248417

Pramod Sharma

Director

DIN NO.-00013573

Siddharth Panwar

Company Secretary

Pan No.CAZPP2148F

## HAWK CAPITAL PRIVATE LIMITED

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Notes to the Financial Statements for the year ending 31st March, 2021

### CORPORATE INFORMATION

Hawk Capital Pvt. Ltd. is a private limited company domiciled in India and incorporated under the provisions of the Companies Act, 1956 as at 26<sup>th</sup> April, 1995. The main object of the company is to carry on the business of NBFC. The registered office of the company is situated at 704, 7<sup>th</sup> Floor, Deepali Building, 92, Nehru Place, New Delhi-110019.

#### Note No: 26

### SIGNIFICANT ACCOUNTING POLICIES:

#### A. Basis of Preparation of Financial Statements:

1. The Revised Schedule VI has become effective from 1<sup>st</sup> April, 2011 for the preparation of financial statements. This has significantly impacted the disclosure and presentation made in the financial statements.
2. The financial statements are prepared on the historical cost convention and in accordance with the generally accepted accounting principles. The Company follows accrual system of accounting except otherwise stated.
3. Accounting policies unless specified otherwise are consistent and in consonance with generally accepted accounting principles.

#### Use of estimates

The preparation of financial statements requires estimates and assumptions to be made that affect the reported amount of assets and liabilities on the date of financial statements and the reported amount of revenues and expenses during the reporting period. Difference between the actual results and estimates are recognized in the period in which the results materialize are known.

#### B. Method of Valuation of Fixed Assets

Fixed assets are stated at cost of acquisition inclusive of duties, taxes, incidental expenses and erection expenses.

#### C. Depreciation

It has been provided on straight-line method as per the useful life prescribed in Schedule II to the Companies Act, 2013.

#### D. Investments

Non-Current Investments have been valued on cost basis.



#### E. Deferred Tax

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Deferred tax is recognized, subject to consideration of prudence, on timing differences, representing the difference between the taxable income/(loss) that originated in one period and are capable of reversal in one or more subsequent periods. Deferred tax assets and liabilities are measured using tax rules and tax laws that have been enacted or substantively enacted by the Balance Sheet date. Deferred tax assets viz. unabsorbed depreciation and carry forward losses are recognized if there is virtual certainty that sufficient future taxable income will be available against which such deferred tax assets can be realized.

#### F. Retirement Benefits

Since there is no policy of the Company regarding retirement benefits, therefore Company has not made any provision on account of Gratuity and encashment of leave as per the revised AS-15 as informed by the Management.

#### G. Earnings Per Share

Basic earnings per share is computed by dividing the profit/(loss) after tax (including the post-tax effect of extraordinary items, if any) by the weighted average number of equity shares outstanding during the year. Diluted earnings per share is computed by dividing the profit/(loss) after tax (including the post-tax effect of extraordinary items, if any) as adjusted for dividend, interest and other charges to expense or income relating to the dilutive potential equity shares, by the weighted average number of equity shares considered for deriving basic earnings per share and the weighted average number of equity shares which could have been issued on the conversion of all dilutive potential equity shares.

#### H. Leases

##### **Operating Lease**

Lease payments shall be recognized as an expense on straight line basis or other systematic basis.

#### I. Cash Flow Statements

Cash flow statement is prepared in accordance with "indirect method" for operating activities and "direct method" for investing and financing activities.



Note No: 27

**OTHER NOTES ON ACCOUNTS**

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**A. Earnings per share**

Particulars	For the year ended 31 March, 2021	For the year ended 31 March, 2020
Net Profit after Tax (Rs.)	40,16,724	1,48,26,560
Number of equity shares outstanding during the year	12,56,507	9,31,832
Weighted average number of equity shares outstanding during the year	10,35,905	9,13,025
Basic earnings per share (Rs.)	3.88	16.24
Diluted earnings per share (Rs.)	3.88	16.24
Nominal value of the share (Rs)	10	10

**B. Leasing Arrangements:**

The Company had entered into operating lease arrangement of office space for corporate office for (3+3+3) 9 years w.e.f. 18<sup>th</sup> August, 2017 to 17<sup>th</sup> August, 2026.

During the year, the company left the space and discontinued the lease.

Lease payments charged during the year to the Profit and Loss Account amounting to Rs.2,49,998/- (Previous Year Rs. 8,04,420/-).

**C. Contingent Liabilities as on March 31, 2021**

-----NIL-----

- D. As per information and explanation given to us and certified by the Directors the value of current assets, loans and advances shown in the Balance Sheet have a value on realization in the ordinary course of business at least equal to the amount at which they are stated on the Balance Sheet.

**E. Earning / Expenditure in Foreign Currency**

(a) Expenditure in Foreign Currency (after TDS)

Amount of TDS

(b) Earning in Foreign Currency

- NIL

- NIL

- NIL



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- F. Consequent to the adoption Accounting Standard 22, tax expenses for the period comprise of current tax and deferred tax. The significant component of deferred tax assets/ liabilities on account of temporary difference are:

Particulars	Amount (Rs.)
Difference in Depreciation	(6,25,458/-)
Provision for NPA	65,07,300/-
<b><u>Rates &amp; Taxes</u></b>	50,40,000/-
MCA – ROC Fees on a/c of increased in Authorised Share Capital of Company (80% of Rs. 63,00,000/-)	
Deferred Tax Assets (Closing Balance)	34,53,206/-

G. **Related Party Transactions**

(i) **Related Party Disclosure:-**

	Related parties and nature of related party relationship with whom transaction have taken place during the current and previous period:		
Promoter Director/ Whole-time Director/ Company Secretary	Mr. Ram Niwas Swami, Whole-time Director	Mr. Pramod Sharma, Promoter Director	Mr. Siddharth Panwar, Company Secretary (Joined w.e.f. 01.03.2021)
Relatives of Director	-	Ms. Shilpi Sharma, Mr. Niranjana Lal Sharma	-
Entity	M/s UV Asset Reconstruction Company Ltd. (Director and relative are substantially interested)		





## (ii) Transactions with related parties:

(Amount in Rs.)

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Type of Transaction	FY 2020-21			FY 2019-20		
	Whole-time Director	Director	Company Secretary	Whole-time Director	Director	Company Secretary
	Mr. Ram Niwas Swami	Mr. Pramod Sharma	Mr. Siddharth Panwar	Mr. Ram Niwas Swami	Mr. Pramod Sharma	Mr. Siddharth Panwar
Salary, Perquisites and Allowances	4,10,000/-	-	30,000/-	3,60,000/-	-	-
Advance against Salary	-	-	-	1,00,000/-	-	-
Issue of Share Capital	-	4,99,99,950/-	-	-	-	-

## (iii) Loans &amp; Advance to the related parties:

(Amount in Rs.)

Type of Transaction	FY 2020-21		FY 2019-20	
	Relatives of Director		Relatives of Director	
	Mr. Niranjana Lal Sharma	Ms. Shilpi Sharma	Mr. Niranjana Lal Sharma	Ms. Shilpi Sharma
Loan & Advances	O/s Rs. 7,20,000/-	-	O/s Rs. 7,20,000/-	-
Interest accrued	Rs. 57,600/-	-	Rs. 57,600/-	-
Shares Purchase dealings under agreement	-	Rs. 13,10,00,000/-	-	Rs. 13,10,00,000/-



(iv) Transactions in which director and his relative are substantially interested:

(Amount in Rs.)

Type of Transaction	FY 2020-21	FY 2019-20
Investment value in shares of UV Asset Reconstruction Company Ltd. (As on 31.3.2021)	6,30,07,500/-	6,30,07,500/-
Interest o/s as on 31.3.2021 on loan disbursed and balance as on 31.3.2021- NIL (TDS Deducted – Rs. 8559/-)	1,14,110/-	-

H. Previous year's figures have been regrouped/ reclassified wherever necessary to correspond with the current year's classification/disclosure.

I. Payment to Auditors:-

Auditor's Remunerations:

(Amount in Rs.)

Particular	FY 2020-21	FY 2019-20
Audit Fees	1,00,000.00	50,000.00
Tax Audit Fees	25,000.00	25,000.00
Add: CGST	11,250.00	6,750.00
Add: SGST	11,250.00	6,750.00

J. Previous for Income Tax has been made as per the prevailing Income Tax Act, 1961.

K. There is no party identified on the basis of information available with the company under Micro, Small and Medium Enterprise Development Act, 2006 (MSMED Act). Therefore, Disclosures as per Section 22 of the Micro, Small and Medium Enterprise Development Act (MSMED Act), 2006 is NIL.

Signature to Note 1 to 27 of these financial statements  
As per our Report of Even Date Attached

For Dinesh K. Sharma & Associates

Chartered Accountants

Firm No- N-11115

DELHI

Dinesh K Sharma

Proprietor

Membership No. 089695

UDIN- 21089695AAAADN9068

For Hawk Capital Private Limited

R N Swami  
Whole-time Director  
DIN No.-03248417

Pramod Sharma  
Director  
DIN No.-00013573

Place: New Delhi

Date: 01-09-2021

Siddharth Panwar  
Company Secretary  
PAN No.CAZPP2148F

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**NOTICE OF 26<sup>TH</sup> ANNUAL GENERAL MEETING OF SHAREHOLDERS  
OF M/s HAWK CAPITAL PRIVATE LIMITED**

**NOTICE IS HEREBY GIVEN THAT 26<sup>TH</sup> ANNUAL GENERAL MEETING OF THE MEMBERS OF M/S HAWK CAPITAL PRIVATE LIMITED WILL BE HELD ON TUESDAY, 30<sup>TH</sup> DAY OF NOVEMBER, 2021 AT 03:00 P.M. AT REGISTERED OFFICE OF THE COMPANY 704, 7<sup>TH</sup> FLOOR, DEEPALI BUILDING, 92, NEHRU PLACE, NEW DELHI 110019**

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**TO TRANSACT THE FOLLOWING:**

**ORDINARY BUSINESS:**

**1. TO RECEIVE, CONSIDER AND ADOPT THE AUDITED FINANCIAL STATEMENTS (INCLUDING THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS) OF THE COMPANY FOR THE FINANCIAL YEAR ENDED ON MARCH 31, 2021 TOGETHER WITH THE REPORT OF THE BOARD OF DIRECTORS AND THE AUDITORS THEREON.**

**By Order of the Board of Directors**

**For Hawk Capital Private Limited**

  
**Director/Authorised Signatory  
(Ram Niwas Swami)  
Whole Time Director  
DIN: 03248417**

**Date: 30.10.2021**

**Place: New Delhi**

**Notes:**

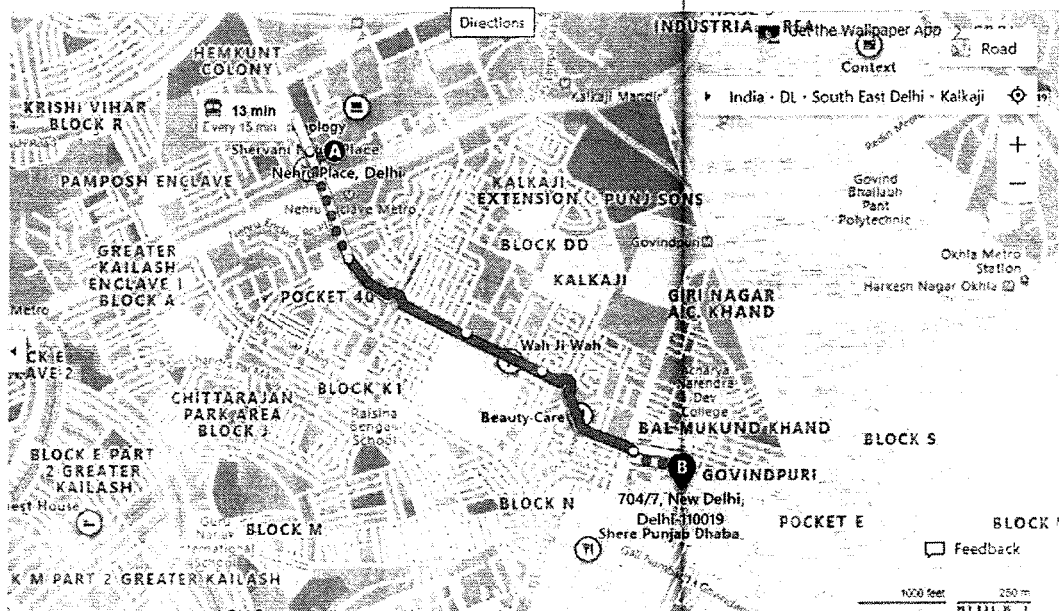
- A. A MEMBER ENTITLED TO ATTEND AND VOTE AT THE MEETING IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE INSTEAD OF HIMSELF/HERSELF AND THE PROXY NEED NOT BE A MEMBER OF THE COMPANY. THE PROXY FORM IN ORDER TO BE EFFECTIVE MUST BE DEPOSITED WITH THE COMPANY NOT LESS THAN 48 HOURS BEFORE THE TIME FIXED FOR COMMENCEMENT OF THE MEETING.**
- B. During the period beginning 24 hours before the time fixed for the commencement of the meeting and ending with the conclusion of the meeting, a member would be entitled to inspect the proxies lodged at any time during the business hours of the Company, provided that not less than three days of notice in writing is given to the Company.**
- C. Queries proposed to be raised at the AGM may be sent to the Company at its registered office prior to the date of AGM to enable the management to compile the relevant information to reply the same in the meeting.**
- D. The Register of Directors and their shareholding, maintained u/s 170 of the Companies Act, 2013 and Register of Contracts or Arrangements in which Directors are interested maintained u/s 189 of the Companies Act, 2013 and all other documents referred to in the notice and explanatory statement, will be available for inspection by the members of the Company at Registered office of the Company during business hours 10:00 A.M. to 06:00**

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P.M. (except Saturday and Sunday) up to the date of Annual General Meeting and will also be available during the Annual General Meeting.

- E. A Route Map along with Prominent Landmark for easy location to reach the venue of Annual General Meeting is annexed with the notice of Annual General Meeting.
- F. Members/proxies attending the meeting are requested to bring their duly filled admission/attendance slips sent along with the notice of annual general meeting at the meeting.
- G. Corporate members intending to send their authorised representatives to attend the meeting are advised to send a duly certified copy of the Board Resolution authorizing their representative to attend and vote at the meeting.
- H. Members are requested to notify any change in their address/ mandate/ bank details immediately to the Company's at its Registered Office.

#### ROUTE MAP:



### Attendance Slip of Annual General Meeting

#### HAWK CAPITAL PRIVATE LIMITED

Reg. office: 704, 7th Floor, Deepali Building, 92, Nehru Place, New Delhi 110019

CIN: U74899DL1995PTC067864

#### ATTENDANCE SLIP

Annual General Meeting, Tuesday, 30<sup>th</sup> November, 2021 at 03.00 P.M.

Please fill attendance slip and hand it over at the entrance of the meeting hall.

I, hereby record my presence at the 26<sup>th</sup> Annual General Meeting held at registered office situated at 704, 7th Floor, Deepali Building, 92, Nehru Place, New Delhi 110019 at 03:00 P.M.

Member's/ Name \_\_\_\_\_

Member's/ Signature \_\_\_\_\_

No. of Shares: \_\_\_\_\_

Folio No.: \_\_\_\_\_

**FORM NO. MGT-11**  
**PROXY FORM**

SUA

*[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014]*

Name of the member(s)		Email ID	
Registered address		Folio No/ Client Id/ DP Id*	

\* For details with respect to your Folio No. and number of shares, please refer to the Attendance Slip enclosed along with the Board Report.

I / We, being the member (s) of \_\_\_\_\_ shares of the above-named company, hereby appoint

1. Name: \_\_\_\_\_

Address: \_\_\_\_\_

E-mail Id: \_\_\_\_\_ Signature: \_\_\_\_\_, or failing him

2. Name: \_\_\_\_\_

Address: \_\_\_\_\_

E-mail Id: \_\_\_\_\_ Signature: \_\_\_\_\_, or failing him

3. Name: \_\_\_\_\_

Address: \_\_\_\_\_

E-mail Id: \_\_\_\_\_ Signature: \_\_\_\_\_, or failing him

as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the 26<sup>th</sup> Annual General Meeting of the Company, to be held on **Tuesday, November 30<sup>th</sup>, 2021 at 03.00 P.M.** at 704, 7<sup>th</sup> Floor, Deepali Building, 92, Nehru Place, New Delhi 110019 and at any adjournment thereof in respect of such resolutions as are indicated below:

Resolution No.	Resolution
1	Receive, consider and adopt the audited financial statements of the Company for the financial year ended March 31, 2021 together with the Reports of the Board of Directors and the Auditors thereon.

Notwithstanding the above, the proxies can vote on such other items which may be tabled at the meeting by the

Members present. Signed this \_\_\_\_\_ day of \_\_\_\_\_ 2021

Signature of Member \_\_\_\_\_

Signature of Proxy holder(s) \_\_\_\_\_

Note: This form of proxy in order to be effective should be duly completed and deposited at the registered office of the Company, not less than 48 hours before the commencement of the Meeting.

## BOARD'S REPORT

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Dear Members,

The Directors of your Company have pleasure in presenting their 26<sup>TH</sup> Annual Report on the business and operations of the Company together with the Audited Financial Statements and Auditor's Report thereon for the year ended 31<sup>st</sup> March, 2021.

### 1. PERFORMANCE HIGHLIGHTS

#### A) Financial Result

The standalone financial statements for the financial year ended March 31, 2021, forming part of this Annual Report, have been prepared in accordance with the Accounting Standards (AS) as notified by the Ministry of Corporate Affairs.

A snapshot on key performance highlights of your Company, during a period that was tough for doing business due to the COVID-19 Pandemic. Key highlights of financial performance of your Company for the financial year 2020-21 (hereinafter referred to as the "financial year") are provided below:

Particulars	Financial Year ended 31 <sup>st</sup> March, 2021	Financial Year ended 31 <sup>st</sup> March, 2020
	(Amount in ₹)	(Amount in ₹)
Revenue from Operations	3,16,35,238	3,99,64,861
Add: Other Income	60,22,429	7,81,620
<b>Total Turnover</b>	<b>3,76,57,667</b>	<b>4,07,46,481</b>
Less: Total Expenditures	3,24,95,095	1,98,24,128
<b>Profit/(Loss) Before Depreciation and Tax Expenses</b>	<b>51,62,572</b>	<b>2,09,22,353</b>
Less: Depreciation	17,86,684	16,40,687
<b>Profit/(Loss) Before Tax Expenses</b>	<b>33,75,888</b>	<b>1,92,81,666</b>
Current Income Tax	23,97,620	53,21,050
MAT Credit	Nil	Nil
Deferred Tax Charges	(30,38,456)	(8,65,944)
<b>Net Profit/Loss after Tax</b>	<b>40,16,724</b>	<b>1,48,26,560</b>
<b>Earnings per share (Basic and Diluted)</b>	<b>3.88</b>	<b>16.24</b>

#### B) Business Growth

Your Company was able to conclude the year ended March 31, 2021 with limited reduction in its loan portfolio in spite of the disruptions caused by the pandemic that led to adverse macro-economic conditions for all business including ours.

#### C) Profitability

The total income of the Company is INR 3,76,57,667 during the FY 2020 - 2021 as against INR 4,07,46,481 lakhs during the FY 2019 - 2020. The total expenditure for the FY 2020 - 2021 was at INR 3,42,81,779. The Company achieved a net profit after tax of INR 40,16,724 during the FY 2020 - 2021 as against INR 1,48,26,560 for the FY 2019 - 2020.

## D) Earning Per Share

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Earnings Per Share of your Company during the year under review is reported at 3.88 against 16.24 as on March 31<sup>st</sup>, 2020.

## 2. DIVIDEND

To ensure availability of capital for future growth of the Company and to deal with the uncertain socio-economic environment due to the pandemic, your Board of Directors are of the view that ploughing back of profit after tax into the business is a necessity of time and hence have not recommended any dividend for the FY 2020 - 2021.

## 3. RESERVES

Your Board of Directors have transferred an amount of INR 8,03,345 to the Statutory Reserve maintained under Section 45-IC of the Reserve Bank of India Act, 1934. The Company has transferred the of INR 10,04,181 amount to the General Reserve for the financial year ended March 31, 2021.

## 4. CHANGE IN REGISTERED OFFICE OF THE COMPANY

During the financial year under review there is no change in registered office of the Company.

## 5. CHANGE IN NATURE OF BUSINESS

During the financial year, there was no change in the nature of business of the Company.

## 6. SHARE CAPITAL

During the financial year, there were following changes in the capital structure of the Company.

### A. Change in authorized, Issued, Subscribed and Paid-up share capital:

- (i). During the financial year, the Company has increased its Authorized Share Capital from Rs. 5,00,00,000/- (Rupees Five Crores Only) divided into 50,00,000 (Fifty Lacs Only) Equity Shares of Rs. 10/- (Rupees Ten) each to Rs.75,00,00,000/- (Rupees Seventy Five Crores Only) divided into 50,00,000 (Fifty Lacs Only) Equity Shares of Rs. 10/- (Rupees Ten) each and 7,00,000 (Seven Lakhs Only) Compulsory Convertible Preference Shares of Rs. 1,000/- (Rupees One Thousand) each.

Consequent to the increase in Authorized Share Capital of the Company during the financial year, the following allotments were made through private placement on a preferential basis:

- (ii). The Company has allotted 3,24,675 (Three Lacs Twenty Four Thousand Six Hundred and Seventy Five Only) Equity Shares at an issue price of INR 154/- (Rupees One Hundred and Fifty-Four only) [i.e. Equity Shares of face value of INR 10/- (Rupee Ten only) issued at a securities premium of INR 144/- (Rupees One Hundred and Fifty-Four only) per share] for an aggregate subscription amount of INR 4,99,99,950 [Rupees Four Crores Ninety Nine Lakh Ninety Nine Thousand Six Hundred and Seventy Five only]. The details of the following mentioned below:

- (a) Date of Issue and Allotment; 28<sup>th</sup> November, 2020 & 5<sup>th</sup> December, 2020.

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- (b) method of allotment (QIP, FPO, ADRs, GDRs, rights issue, bonus issue, preferential issue, private placement, conversion of securities, etc.); Private Placement
  - (c) issue price; INR 154 (Face Value INR 10 & Premium INR 144)
  - (d) conversion Ratio; NA
  - (e) number of shares allotted or to be allotted in case the right or option is exercised by all the holders of such securities; 324675 Equity Shares allotted.
  - (f) number of shares or securities allotted to the promoter group (including shares represented by depository receipts); 324675 Equity Shares.
  - (g) in case, shares or securities are issued for consideration other than cash, a confirmation that price was determined on the basis of a valuation report of a registered valuer: NA
- (iii) During the year company has converted its Unsecured Compulsorily Convertible Debentures and allotted 0.01% Cumulative, Compulsorily, Convertible Preference shares. The Company has allotted 6,90,000 (Six Lakh and Ninety Thousand) Compulsorily Convertible Preference Shares (CCPS) having a face value of Rs 1,000/- each (Rupees One Thousand Only), aggregating Rs.69,00,00,000 (Rupees Sixty-Nine Crores Only). The details of the following mentioned below:-
- (a) Date of Issue and Allotment; 10<sup>th</sup> February 2021 & 31<sup>st</sup> March 2021
  - (b) method of allotment (QIP, FPO, ADRs, GDRs, rights issue, bonus issue, preferential issue, private placement, conversion of securities, etc.); Private Placement
  - (c) issue price; Face value INR 1000
  - (d) conversion price; 1 equity share for 1 CCPS held, subject to a maximum equity participation of 24% post conversion.
  - (e) number of shares allotted or to be allotted in case the right or option is exercised by all the holders of such securities; as mentioned in point (d)
  - (f) number of shares or securities allotted to the promoter group (including shares represented by depository receipts); NIL
  - (g) in case, shares or securities are issued for consideration other than cash, a confirmation that price was determined on the basis of a valuation report of a registered valuer:
- 0.01% Cumulative, Compulsorily, Convertible Preference shares are issued for consideration other than cash and:
- (i) the price was determined on the basis of a valuation report of a registered valuer;
  - (ii) the valuation report was given by a registered valuer appointed by the Board of the company;
  - (iii) all other provisions of section 247 of the Act and Rules made thereunder have been duly complied with.

#### **B. Equity shares with differential rights**

During the financial year, the Company has not issued any equity share with differential rights.

#### **C. Buy Back of Securities**

During the financial year, the Company has not bought back equity shares.



#### **D. Sweat Equity**

During the financial year, the Company has not issued any Sweat Equity Shares.

#### **E. Bonus Shares**

During the financial year, the Company has not issued any Bonus Shares.

#### **F. Stock Option Plan**

During the financial year, the Company has not issued any Stock Option Plan.

### **7. DEBENTURES**

During the financial year under review, the company has issued Unlisted, Secured, Redeemable, Non-Convertible Debentures amounting of INR 42,07,50,000 on a private placement basis, in various tranches. The fund raised from NCDs are being utilized for various financing activities.

**Trustee for Debenture Holder's:** Vistra ITCL (India) Limited has appointed as Debenture Trustee.

### **8. DETAILS OF BOARD OF DIRECTORS AND KEY MANAGERIAL PERSONNEL OF THE COMPANY**

During the financial year, there were no changes in the composition of Board but company has appointed Mr. Siddharth Panwar as a Company Secretary of the company with effect from **01.03.2021**

### **9. DECLARATION OF INDEPENDENT DIRECTORS**

The provisions of Section 149 pertaining to the appointment of Independent Directors do not apply to our Company.

### **10. NUMBER OF BOARD MEETINGS**

During the financial year, 18 (Eighteen) Meetings of the Board of Directors were held in the Company.

### **11. DIRECTOR RESPONSIBILITY STATEMENT**

In accordance with the provisions of Section 134(5) of the Companies Act, 2013 the Board hereby submits its responsibility Statement:

- In the preparation of the annual accounts for the financial year ended 31st March, 2021; the applicable accounting standards had been followed along with proper explanation relating to material departures;
- The directors had selected such accounting policies and applied them consistently and made judgments and estimates that are reasonable and prudent so as to give a true and fair view of the state of affairs of the company as at 31<sup>st</sup> March, 2021 and of the loss of the company for that period;
- The directors had taken proper and sufficient care for the maintenance of adequate accounting records in accordance with the provisions of the Companies Act, 2013 for safeguarding the assets of the company and for preventing and detecting fraud and other irregularities;

- The directors had prepared the annual accounts on a going concern basis;
- Internal financial controls are not applicable to the Company; and
- The directors had devised proper systems to ensure compliance with the provisions of all applicable laws and that such systems were adequate and operating effectively.

## **12. SECRETARIAL STANDARDS**

The Company has devised proper systems to ensure compliance with the provisions of all applicable Secretarial Standards issued by the Institute of Company Secretaries of India and that such systems are adequate and operating effectively.

## **13. AUDITORS**

M/s. Dinesh K. Sharma & Associates, Chartered Accountants, were appointed with your approval as the Auditors of your Company for a period of five years from Financial Year 01/04/2017 till the conclusion of the AGM held in the F.Y 2022-2023.

## **14. EXPLANATIONS OR COMMENTS BY THE BOARD ON EVERY QUALIFICATIONS, RESERVATION OR ADVERSE REMARK OR DISCLAIMER MADE BY THE STATUTORY AUDITOR IN HIS REPORT AND THE PRACTICING COMPANY SECRETARY IN THEIR REPORTS**

The Statutory Auditor has not given any qualification, reservation or made any adverse remarks or disclaimer in their Audit Report.

Further, no frauds have been reported by the Auditors under Section 143(12) of the Companies Act, 2013 requiring disclosure in the Board's Report.

The provisions relating to submission of Secretarial Audit Report is not applicable to the Company.

## **15. SUBSIDIARIES, JOINT VENTURES AND ASSOCIATE COMPANIES**

The Company does not have any Subsidiary, Joint venture but company has an Associate Company detail of companies mentioned below also annexed in **Annexure 1**

NAME OF COMPANY	CIN NO	PERCENTAGE OF HOLDING
UV Housing Finance Limited	U65100DL2012PLC242153	21.90

## **16. PARTICULARS OF LOANS GIVEN, INVESTMENTS MADE, GUARANTEES GIVEN AND SECURITIES PROVIDED**

Company is Non-Banking Financial Company- Non-Systematically Important Non-Deposit Taking Company. During the financial year company grant loan in its ordinary course of business.

## **17. PARTICULARS OF CONTRACTS OR ARRANGEMENTS MADE WITH RELATED PARTIES**

The particulars of contracts or arrangements with related parties referred to in Section 188(1) of the Companies Act 2013 for the financial year 2020-21 in the prescribed format, AOC- 2 has been enclosed at **Annexure 2** with the report.

## **18. DEPOSIT**

During the financial year, the Company has not accepted any deposits from the public/members under Section 73 of the Companies Act, 2013 ('the Act') read with the Companies (Acceptance of Deposits) Rules, 2014.

The Company has not received any unsecured loans and advances from its Director(s), or a relative(s) of the Director(s).

## **19. MAINTENANCE OF COST RECORDS**

The requirement to maintain the cost records of the Company pursuant to Section 148 of the Companies Act, 2013 is not applicable on the Company.

## **20. MATERIAL CHANGES AND COMMITMENT IF ANY AFFECTING THE FINANCIAL POSITION OF THE COMPANY OCCURRED BETWEEN THE END OF THE FINANCIAL YEAR TO WHICH THIS FINANCIAL STATEMENTS RELATE AND THE DATE OF THE REPORT**

The dynamic and evolving nature of the COVID-19 pandemic with its resurgence (second wave) in the first quarter of the financial year 2021-22 again created uncertainty and slowdown of economic activity. The second wave was particularly challenging and various state governments imposed regional lockdowns. The imposition of such lockdowns caused temporary suspension of operations.

## **21. CONSERVATION OF ENERGY, TECHNOLOGY ABSORPTION, FOREIGN EXCHANGE EARNINGS AND OUTGO**

The provisions relating to conservation of energy and technology absorption are not applicable to the Company at present.

The details of earnings of foreign exchange and the expenditure in foreign currency during the period under review are as under:

Foreign Exchange Earnings	Nil
Expenditure in foreign Currency	Nil

## **22. RISK MANAGEMENT**

Your Director's have periodically discussed and monitored the risk management plans as well as evaluated various risks. Consequently, there is no element of risk identified that may threaten the existence of the Company.

## **23. AUDIT COMMITTEE AND VIGIL MECHANISM**

The provision of Section 177 of the Companies Act, 2013 read with related Rules 6 and Rule 7 of the Companies (Meeting of the Board and its Powers) Rules, 2013 are not applicable on the Company.

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**24. NOMINATION AND REMUNERATION POLICY**

The provisions of Section 178(1) relating to constitution of Nomination and Remuneration Committee are not applicable to the Company.

**25. CORPORATE SOCIAL RESPONSIBILITY**

As the company does not meet the criteria, the requirements of constitution of CSR Committee and spending on CSR are not applicable to the Company.

**26. SIGNIFICANT AND MATERIAL ORDERS PASSED BY THE REGULATORS OR COURTS OR TRIBUNALS IMPACTING THE GOING CONCERN STATUS AND COMPANY'S OPERATIONS IN FUTURE**

During the financial year, no significant or material orders were passed by any Regulator or Courts or Tribunals which may impact the going concern status and Company's operations in future.

**27. DISCLOSURE UNDER THE SEXUAL HARASSMENT OF WOMEN AT WORKPLACE SEXUAL HARASSMENT COMMITTEE (PREVENTION, PROHIBITION AND REDRESSAL) ACT, 2013**

As per the requirement of the Sexual Harassment of Women at Workplace (Prevention, Prohibition & Redressal) Act, 2013 ('Act') and rules made there under, the Company has adopted a Sexual Harassment Policy for women to ensure healthy working environment without fear of prejudice, gender bias and sexual harassment.

The Company has not constituted Internal Committee under the said Act because company having less than ten workers.

**28. PARTICULARS OF EMPLOYEES**

The provisions of Section 197 of the Companies Act, 2013 are not applicable to the Company.

**29. DETAILS OF APPLICATION MADE OR ANY PROCEEDING PENDING UNDER THE INSOLVENCY AND BANKRUPTCY CODE, 2016 (31 OF 2016) DURING THE YEAR ALONGWITH THEIR STATUS AS AT THE END OF THE FINANCIAL YEAR**

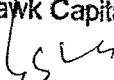
During the financial year, the Company has not filed any application, nor any proceedings are pending under the Insolvency and Bankruptcy Code, 2016 (31 of 2016), therefore, the same is not applicable on the Company.

**30. CONCLUSION**

The Directors express their sincere appreciation to the valued shareholders, bankers and clients for their support and look forward to their continued support and cooperation to evidence the future growth of the Company.

On behalf of the Board of Directors of  
Hawk Capital Private Limited

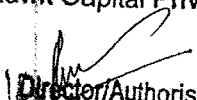
For Hawk Capital Private Limited

  
Director/Authorised Signatory  
(Pramod Sharma)  
Director  
DIN: 00013573

Date: 30.10.2021  
Place: New Delhi

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For Hawk Capital Private Limited

  
Director/Authorised Signatory  
(Ram Niwas Swami)  
Whole Time Director  
DIN: 03248417

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**Annexure-1****Form AOC-1**

(Pursuant to first proviso to sub-section (3) of section 129 read with rule 5 of Companies (Accounts) Rules, 2014)

**Statement containing salient features of the financial statement of subsidiaries/associate companies/joint ventures**

**Part A: Subsidiaries: Not Applicable****Part B: Associates and Joint Ventures**

**Statement pursuant to Section 129 (3) of the Companies Act, 2013 related to Associate Companies and Joint Ventures**

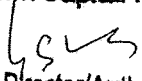
<b>Name of associates/Joint Ventures</b>	UV Housing Finance Limited
<b>1. Latest audited Balance Sheet Date</b>	31.03.2021
<b>2. Date on which the Associate or Joint Venture was associated or acquired</b>	15.04.2018
<b>3. Shares of Associate held by the company on the year end</b>	3,00,000
No.	3,00,000
Amount of Investment in Associates	30,00,000
Extend of Holding%	21.90%
<b>4. Description of how there is significant influence</b>	There is significant influence due to percentage (%) of Share Capital
<b>5. Reason why the associate/joint venture is not consolidated</b>	Not Applicable
<b>6. Net worth attributable to shareholding as per latest audited Balance Sheet</b>	25,59,922
<b>7. Profit/Loss for the year</b>	
i. Considered in Consolidation	63279
ii. Not Considered in Consolidation	-

1. Names of associates or joint ventures which are yet to commence operations: **Nil**

2. Names of associates or joint ventures which have been liquidated or sold during the year: **Nil**

On behalf of the Board of Directors of  
Hawk Capital Private Limited


For Hawk Capital Private Limited

  
Director/Authorised Signatory  
(Pramod Sharma)  
Director  
DIN: 00013573

Date: 30.10.2021  
Place: New Delhi

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For Hawk Capital Private Limited

  
Director/Authorised Signatory  
(Ram Niwas Swami)  
Whole Time Director  
DIN: 03248417

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Annexure 2

**FORM NO. AOC.2**

(Pursuant to clause (h) of sub-section (3) of section 134 of the Act and Rule 8(2) of the Companies (Accounts) Rules, 2014)

**Form for disclosure of particulars of contracts/arrangements entered into by the company with related parties referred to in sub-section (1) of section 188 of the Companies Act, 2013 including certain arms length transactions under third proviso thereto**

1. Details of contracts or arrangements or transactions not at arm's length price: *Not Applicable*
2. Details of contracts or arrangements or transactions at arm's length price

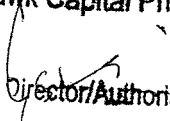
Details of contracts or arrangements or transactions at arm's length basis during the year ended on 31.03.2021 are as follows:

Name(s) of the Related party and nature of relationship	Nature of contracts/arrangements/transactions	Duration of the contracts/arrangements/transactions	Salient terms of the contracts or arrangements or transactions including the value, if any:	Date(s) of approval by the Board, if any:	Amount paid as advances, if any:
Mr. Ram Niwas Swami  Whole Time Director	Salary	Continuous Basis	As per Agreement	29 <sup>th</sup> October, 2015	Nil

\*The figure represents the closing balance as on 31.03.2021.

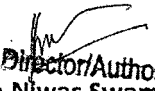
On behalf of the Board of Directors of  
Hawk Capital Private Limited

For Hawk Capital Private Limited

  
Director/Authorised Signatory  
(Pramod Sharma)  
Director  
DIN: 00013573

Date: 30.10.2021  
Place: New Delhi

For Hawk Capital Private Limited

  
Director/Authorised Signatory  
(Ram Niwas Swami)  
Whole Time Director  
DIN: 03248417





LIST OF EQUITY SHAREHOLDER'S AS ON 31.03.2021

Folio No	List of Shareholder	Fathers Name	Add of Shareholders	No of Shares	Shareholding
6	Mr. Pramod Sharma	Lt. Ram Karan Sharma	A-2 Western Avenue Lane-16 Sainik Farms New Delhi 110062	977553	77.8
8	Mr. Shalabh Gupta	Lt. S.M Gupta	1260 Rang Mahal S.p Mukherjee Marg New Delhi 110006	68975	5.49
27	Ms. Manpreet Kaur	Lt. Balwant Singh	B-169D Duggal Colony Khanpur New Delhi 110062	300	0.02
28	M/s Arck Consultants Private Limited	NA	1011 Kirti Shikhar Distt Centre Janakpuri New Delhi	77519	6.17
29	Mr. Harish Kumar	Sh Ram Kumar	H.No T-3646 B Plot No 78 A Khasra No 41/27 First Floor Near Shiv Mandir Raja Park Shakur Basti New Delhi 110034	31097	2.47
30	Mr. Mukesh Kumar	Sh Anil Kumar	Block-C, House NO 3, Surender Colony Jharoda Village Burari New Delhi 110084	15548	1.24
31	Mr. Sanjay Kumar Chaurasiya	Sh Ram Sunder Chaurasiya	Q-549 1ind Floor Rishi Nagar Rani Bagh Delhi 110034	11661	0.93
32	Mr. Dhyhanji Yadav	Sh Bhaglu Yadav	F-265 Shakarpur JJ Colony, Delhi- 110085	11661	0.93
33	Mr. Sat Narain	Sh Ram Sahae	WZ-583 Gali No 01 Sri Nagar Delhi 11034	11661	0.93
34	Mr. Dileep Kumar	Sh Vijay Kumar	F-61 & 62 FF Room No 2 Shakarpur Delhi 110032	11661	0.93
35	M/s Satya Securities Limited	NA	C-68 Lal Kothi Scheme Jaipur Rajasthan 302015	38871	3.09

For Hawk Capital Private Limited  
For Hawk Capital Private Limited

  
Director/Authorised Signatory  
(Pramod Sharma)

Director

DIN: 00013573

Date: 30.10.2021

Place: New Delhi

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For Hawk Capital Private Limited

  
Director/Authorised Signatory  
(Ram Niwas Swami)

Whole Time Director


DIN: 03248417




LIST OF COMPULSORILY CONVERTIBLE PREFERENCE SHAREHOLDER'S AS ON 31<sup>ST</sup> MARCH 2021

Folio No.	Name of Allottee	No. of Compulsorily Convertible Preference Shares (CCPS)
CCPS01	Srei Multiple Asset Investment Trust Vision Fund India	5,40,000
CCPS02	Predicate Consultants Private Limited	1,50,000
Total		6,90,000

For Hawk Capital Private Limited  
For Hawk Capital Private Limited

  
Director/Authorised Signatory  
(Pramod Sharma)  
Director  
DIN: 00013573

For Hawk Capital Private Limited

  
Director/Authorised Signatory  
(Ram Niwas Swami)  
Whole Time Director  
DIN: 03248417

Date: 30.10.2021

Place: New Delhi

# SURUCHI FOODS PVT. LTD.

CIN-U15319DL1986PTC023855

Annex A - 21

**Factory :** Plot No. 482-483, Delhi-Agra Road, NH-2, Dautana,  
Tehsil Chhata, Kosi Kalan (Mathura)- 281 401(U.P.) Telefax: 05662-232130  
**Regd. Office :** Brindavan Farms, Khasra No. 138, Main Bandh Road, Satbari, New Delhi-110074  
**Telephone :** 91-11-41650666, **Fax :** 91-11-41650444, **E-mail :** info@suruchifood.com

September 13, 2022

To,  
Hawk Capital Private Limited,  
704, 7<sup>th</sup> Floor,  
92, Deepali Building,  
Nehru Place,  
New Delhi – 110019

**Ref: Support Letter for Financial Proposal of Hawk Capital Private Limited ("HCPL") to acquire assets and properties of Golden Forest India Limited ("GFIL") and its Group Companies through Hon'ble Supreme Court of India**

Dear Sir,

Suruchi Foods Private Limited was incorporated within the companies Act 1956. Vide CIN-U15319DL 1986PTC023855 is reputed company in food processing business since more than 2 decades.

We, Suruchi Foods Private Limited, understand that HCPL has filed an application before the Hon'ble Supreme Court of India as propounder to acquire the properties and other assets of GFIL and its group companies which are presently under the supervision and possession of the Hon'ble Supreme Court of India appointed committee since the year 2004. The properties are scattered all over India, mainly in Haryana, Punjab, Uttarakhand, Madhya Pradesh, Telangana which is approximately 12,000 Acres of land.

We understand that the properties and other assets of GFIL and its group companies have adequate value to cover an acquisition cost of around Rs.400 crores.

We, agree to support the financial proposal of HCPL to acquire all the assets of GFIL and its group companies for an amount of Rs.40 crores which is required to cover 10% payment towards the scheme. Mutually agreed term/sheet shall be issued once HCPL receives positive confirmation or sanction from the Hon'ble Supreme Court of India.

We confirm that, as of the date of this letter, we have adequate funds available to provide financial support of up to Rs.40 crores to HCPL to acquire all the aforesaid assets/properties which will cover 10% of the total financial outlay for the acquisition.

Sincerely,

For Suruchi Foods Private Limited  
SURUCHI FOODS PVT. LTD.

Authorised Signatory  
Authorised Signatory

TRUE COPY



dalmia group

Annex A-22

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13<sup>th</sup> September, 2022

To,

Hawk Capital Private Limited,  
704, 7<sup>th</sup> Floor,  
92, Deepali Building,  
Nehru Place,  
New Delhi – 110019

**Ref: Support Letter for Financial Proposal of Hawk Capital Private Limited ("HCPL") to acquire Golden Forest India Limited ("GFIL") and its Group Company's Properties and Assets through Hon'ble Supreme Court of India**

Dear Sir,

Dalmia Family Office Trust ("DFOT") is an unregistered Discretionary family trust of Dalmia family through which they can invest their family wealth.

We, Dalmia family office trust, understand that HCPL has filed an application before the Hon'ble Supreme Court of India as profounder to acquire GFIL and its group company's properties and assets which now are under the supervision and possession of the Hon'ble Court of India appointed committee since the year 2004. The properties are scattered all over India, mainly in Haryana, Punjab, Uttarakhand, Madhya Pradesh, Telangana which is approximately 12,000 Acres of land.

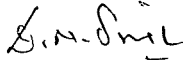
We understand that all the properties of GFIL and its group company's properties and assets have adequate value to cover an acquisition cost of around 400 crores.

We, in principle, support the financial proposal of HCPL to acquire all the assets of GFIL and its group company's properties and assets for an amount of approximately 400 crores subject to a security in the form of a clean mortgage of acquired assets to us. Mutually accepted termsheet shall be issued once HCPL receives positive confirmation or sanction from the Hon'ble Supreme Court of India.

We confirm that, as of the date of this letter, we have adequate funds available to provide financial support of up to Rs.400 crores to HCPL to acquire all the assets/properties on mutually accepted terms.

Sincerely,

For Dalmia Family Office Trust

  
D. N. Singh  
(Authorised Signatory)



**Dalmia Family Office Trust**

(Formerly "Mridu Hari Dalmia Parivar Trust")

4, Scindia House, 2<sup>nd</sup> Floor, New Delhi-110 001

Tel: +91 11 2335 2641, 4362 1200, Fax: +91 11 2332 5160

Email: dgh@dalmiaholdings.com

TRUE COPY

**List of properties of the golden group of companies**

<b>S.No.</b>	<b>Description of the Property</b>
1.	House No.D-6, Residential Yojana, Begum Bagh, Meerut, Uttar Pradesh.
2.	SCF-21-P, Sector Diwan Khana, HUDA Jind, Haryana
3.	Agricultural Land, Village Parasoli, Gurugram, Haryana
4.	Agricultural Land, Village Bhakrakha, Gurugram, Haryana
5.	Agricultural Land, Bhorakhurd, Gurugram, Haryana
6.	Agricultural Land, Village Sidhrawali, Gurugram, Haryana
7.	Agricultural Land, Village Jaswantgarh, Haryana
8.	Agricultural Land, Village Billa, Haryana
9.	Semi Constructed building and open areas in agricultural land in village Billa, Haryana
10.	Agricultural Land, Village Kot, Haryana
11.	Agricultural Land, Village Panda, Tehsil Mhow, Indore,

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	Madhya Pradesh.
12.	Agricultural Land, Village Nawda, Tehsil, Mhow, Indore, Madhya Pradesh.
13.	Homestead land comprises of two storeyed building Golden Complex, RS Plot No.3288, Street No.3, Pargana Baikunthapura, Mouza Siliguri, JL No.110(88), PS Siliguri, Ditt. Darjelling, West Bengal.
14.	Agricultural Land, Village Raau, Tehsil and District Indore, Madhya Pradesh.
15.	Flat No.601, GF Building, No.6, Ranka Park Apartment, Lal Bagh Road, Dodamavaal, Bangalore, Karnataka.
16.	Flat No.S-1, IInd Floor, Albert Court Corporation No.2/4, Albert Street Corporation Ward No.76, Bangalore, Karnataka.
17.	Under Cliff Estate, Mussoorie, Uttrakhand
18.	House No.C-6/359/1, Garhi Mundo, Jagadhari, Haryana.

## PART - A

Properties Available for Sale

Sr.No.	Land/Building	Location	Approx.Area	Collector Rate	Collector's Value of property/ Land
1	Farmland	Village Jaswantgarh, Panchkula Haryana	70 Acre	50 Lacs	35.00 Cr
2	Hotel & Tourist resort	Village Billa Panchkula Haryana	432 Kanal (54 Acre)	47.25 Lacs	25.51 Cr
3	SCO	Jind, Haryana	151.412 Yard	55000 per yard	0.83 Cr
4	Agricultural land	Village Jaswantgarh, Haryana	72.31 Acre	50.00 lacs per acre	36.15 Cr
5	Agricultural land	Village Billa, Haryana	51.37 Acre	47.25 lacs per acre	24.27 Cr
6	Agricultural land	Village Bunga, Haryana	5.78 Acre	17.00 lacs per acre	0.98 Cr
7	Agricultural land	Village Kot, Haryana	5.07 Acre	55.00 lacs per acre	0.28 Cr
8	Agricultural land	Village Parasoli, Gurgaon	73 K - 15.66 M (9.22 Acre)	5533500 Per Acre	5.07 Cr
9	Agricultural land	Village Bhokrakha, Gurgaon	46 K - 15 M (5.84 Acre)	5593000 Per Acre	3.26 Cr
10	Agricultural land	Village Borakhurd, Gurgaon	1221 K - 2.5 M (152.64 Acre)	5593000 Per Acre	85.37 Cr
11	Agricultural land	Village Sidhrawali, Gurgaon	57 K - 6 M (7.162 Acre)	7008250 Per Acre	5.02 Cr
12	Agricultural land	Village Panda, Indore	57.830 hectare	12500000 per Hecter	72.29 Cr
13	Agricultural land	Village Nawda, Indore	27.219 hectare	60.00,000 per Hecter	16.33 Cr
14	Agricultural land	Village Raau, Indore	15.703 hectare	30000000 per hecter	47.10 Cr



## PART - B

## Properties/lands under litigation before Court/Committee

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Sr.No.	Land/Building	Location	Approx.Area	Collector Rate	Collector's Value of property/ Land
1	16 Shops, Hotel block, 2 Cinema Hall, Main House	Mall Road, Mussoorie	3801 Sq.Mts	33500/- Sq. Mts	12.75 Crore
2	Luxmi Bhawan & Cottage	Kurli, Mussoorie	2858 Sq.Mtrs	33500/- Sq. Mts	9.57 Crore
3	Flat	Vasant Kunj, Delhi	1250 Sq. Ft (116.129 Sq. Mtr)	774000/- Sq.Mtr	8.98 Crore
4	SCO	Ambala Cantt	2369 Sq.Ft (265.77 yard)	23000/- yard	0.61 Crore
5	Two Plots	Lajpat Nagar, Delhi	703.3 St. Mts.	159840/- Sq.Mtr	11.24 Crore
6	Plot No. 265/1	NTPC.Ramagundam, Karimnagar	847 Sq.Yds.	7500/- per Sq.Yds	0.63 Crore
7	Agricultural Land	Village-Kotra, District Kaithal	88 Kanal (11 Acre)	1.5 Cr. Per acre	16.50 Crore
8	Agricultural Land	Village Peer Muchala, Distt. SAS Nagar	4 Acre	15640000/- per acre	6.26 Crore

**PART - C**

**Surplus Land declared by the State of Punjab and Uttarakhand**

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Sr.No.	Land/Building	Location	Approx.Area	Collector Rate	Collector's Value of property/ Land
1	Surplus Land	Uttarakhand (As per Dr.Namavati Report)	1355.56 Acre	@ Rs. 20 Lacs per acre (assumption)	271.11 Crore
2	Surplus Land (less built up properties mention at Sr No. 3 to 10 below)	Punjab (As per Dr.Namavati Report)	4169.86 Acre	@ Rs. 20 Lacs per acre (assumption)	833.97 Crore
3	Farmland and Building (builtup)	Village Jharmari, Punjab	36 Killa	60 Lacs	21.60 Cr
4	Central office Building (builtup)	Village Jharmari, Punjab	32 Killa	60 Lacs	19.20 Cr
5	Hotel (builtup)	Village Jharmari, Punjab	16 Killa	60 Lacs	9.60 Cr
6	10 Residential & 2 office Building	Village Jarout, Punjab	25 Killa	16 Lacs	4.00 Cr
7	Farmland (part of builtup area)	Village Jharmari, Punjab	58 Killa	60 Lacs	34.80 Cr
8	Farmland (part of builtup area)	Village Jharmari, Punjab	9 Killa	60 Lacs	5.40 Cr
9	Farmland(part of builtup area)	Village Jharmari, Punjab	77 Killa	60 Lacs	46.20 Cr
10	Agricultural Land (part of builtup area)	Village Pir Machchela	37.11 Killa	85 lacs	31.54 Cr

**PART - D**

**Properties/Lands to be identified (As per Dr. Namavati Report)**

Sr.No.	Land/Building	Location	Approx.Area	Collector Rate	Collector's Value of property/ Land
1	Lands in Various States		1840 Acre (approx.)	@ Rs. 20 Lacs per acre (assumption)	368 Crore

Note: Collector Rates are indicative only which are fixed according to the location and Quality of land and further revised.

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**PART -A****PROPERTY AVAILABLE FOR SALE**

<b>S.No.</b>	<b>Land/Building</b>	<b>Location</b>	<b>Approx. Area</b>	<b>Collector Rate</b>	<b>Collector's value of property/land</b>
1.	Farmland	Village Jaswantgarh, Panchkula Haryana	70 Acre	50 Lacs	35.00 Cr.
2.	Hotel & Tourist Resort	Village Billa Panchkula Haryana	432 Kanal (54 Acre)	47.25 Lacs	25.51 Cr.
3.	SCO	Jind, Haryana	151.412 Yard	55000 per yars	0.83 Cr.
4.	Agricultural land	Village Jaswantgarh, Haryana	72.31 Acre	50.00 lacs per acre	36.15 Cr.
5.	Agricultural land	Village Billa, Haryana	51.37 Acre	47.25 lacs per acre	24.27 Cr.
6.	Agricultural land	Village Bunga, Haryana	5.78 Acre	17.00 lacs per acre	0.98 Cr.
7.	Agricultural land	Village Kot, Haryana	5.07 Acre	55.00 lacs per acre	0.28 Cr.
8.	Agricultural land	Village Parasoli, Gurgaon	73 K-15.66 M (9.22 Acre)	5533500 per Acre	5.07 Cr.

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9.	Agricultural land	Village Bhokrakha, Gurgaon	46 K-15 M (5.84 Acre)	5593000 Per Acre	3.26 Cr.
10.	Agricultural land	Village Bhorakhurd, Gurgaon	1221 K-2.5 M (152.64 Acre)	5593000 Per Acre	85.37 Cr.
11.	Agricultural land	Village Sidhrawali, Gurgaon	57 K-6M (7.162 Acre)	7008250 Per Acre	5.02 Cr.
12.	Agricultural land	Village Panda, Indore	57.830 hectare	12500000 Per Hectare	72.29 Cr.
13.	Agricultural land	Village Nawda, Indore	27.219 hectare	60,00,000 per Hecter	16.33 Cr.
14.	Agricultural land	Village Raau, Indore	15.703 Hectare	30000000 per hecter	47.10 Cr.

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**PART-B****Properties/lands under litigation before Court/Committee**

<b>S.No.</b>	<b>Land/Building</b>	<b>Location</b>	<b>Approx.Area</b>	<b>Collector Rate</b>	<b>Collector's Value of property/land</b>
1.	16 Shops, Hotel block, 2 Cinema Hall, Main House	Mall Road, Mussoorie	3801 Sq.Mts.	33500/- Sq.Mts.	12.75 Crore
2.	Luxmi Bhawan & Cottage	Kurli, Mussoorie	2858 Sq. Mts.	33500/-Sq.Mts	9.57 Crore
3.	Flat	Vasant Kunj, Delhi	1250 Sq.Ft. (116.129 Sq.Mts.)	774000/- Sq.Mts	8.98 Crore
4.	SCO	Ambala Cantt	2369 Sq.Ft. (265.77 yard)	23000/- yard	0.61 Crore
5.	Two Plots	Lajpat Nagar, Delhi	703.0 St. Mts.	159840/- Sq.Mtr.	11.24 Crore
6.	Plot No.265/1	NTPC Ramagundam, Karimnagar	847 Sq. Yds.	7500/- per Sq.Yds	0.63 Crore
7.	Agricultural land	Village-Kotra, District Kaithal	88 Kanal (11 Kanal)	1.5 Cr. Per acre	16.50 Crore
8.	Agricultural land	Village Peer Muchala, Distt. SAS Nagar	4 Acre	15640000/- per acre	6.26 Crore

**PART -C****Surplus land declared by the State of Punjab and Uttarakhand**

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S.No.	Land/Building	Location	Approx. Area	Collector Rate	Collector's Value of property/land
1.	Surplus Land	Uttarakhand (as per Dr. Namavati Report )	1355.56 Acre	@Rs.20 Lacs per acre (assumption)	271.11 Crore
2.	Surplus Land (less built up properties mention at Sr. No.3 to 10 below)	Punjab (As per Dr. Namavati Report)	4169.86 Acre	@ Rs.20 Lacs per acre (assumption)	833.97 Crore
3.	Farmland and Building (Builtup)	Village Jharmari, Punjab	36 Killa	60 Lacs	21.60 Cr.
4.	Central Office Building (builtup)	Village Jharmari, Punjab	32 Killa	60 Lacs	19.20 Cr.
5.	Hotel (Builtup)	Village Jharmari, Punjab	16 Killa	60 Lacs	9.60 Cr.
6.	10 Residential & 2 office Building	Village Jarout, Punjab	25 Killa	16 Lacs	4.00 Cr.
7.	Farmland (Part of builtup area)	Village Jharmari,	58 Killa	60 Lacs	34.80 Cr.

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		Punjab			
8.	Farmland (part of builtup area)	Village Harmari, Punjab	9 Killa	60 Lacs	5.40 Cr.
9.	Farmland (part of builtup area)	Village Jharmari, Punjab	77 Killa	60 Lacs	46.20 Cr.
10.	Agricultural land (part of builtup area)	Village Pir Machchela	37.11 Killa	85 Lacs	31.54 Cr.

**PART -D**

**Properties/Lands to be identified (As per Dr. Namavati Report)**

S.No.	Land/Building	Location	Approx.Area	Collector Rate	Collector's Value of property/land
1.	Lands in Various States		1840 Acre (Approx.)	@ Rs.20 Lacs per acre (assumption)	368 Crore

**Note: Collector Rates are indicative only which are fixed according to the location and quality of land and further revised.**

  
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